AWARD NUMBER: W81XWH-15-1-0219

TITLE: Role of Bactericidal Peptidoglycan Recognition Proteins in Regulating Gut Microbiota and Obesity

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CONTRACTING ORGANIZATION: Trustees of Indiana University

**Bloomington, IN 47405** 

REPORT DATE: March 2018

TYPE OF REPORT: Final

PREPARED FOR: U.S. Army Medical Research and Materiel Command

Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release; Distribution Unlimited

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#### REPORT DOCUMENTATION PAGE

Form Approved OMB No. 0704-0188

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1. REPORT DATE	2. REPORT TYPE	3. DATES COVERED
March 2018	Final	1 Jul 2015 - 31 Dec 2017
4. TITLE AND SUBTITLE		5a. CONTRACT NUMBER
Role of Bactericidal Peptidoglyo	can Recognition Proteins in Regulating	
Gut Microbiota and Obesity		5b. GRANT NUMBER
-		W81XWH-15-1-0219
		5c. PROGRAM ELEMENT NUMBER
6. AUTHOR(S)		5d. PROJECT NUMBER
Dipika Gupta		
		5e. TASK NUMBER
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E-Mail: dgupta@iun.edu		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)		8. PERFORMING ORGANIZATION REPORT NUMBER
The Trustees of Indiana Univers	ity	
Office of Research Admin		
Lockefield 2232, 980 Indiana Av	renue	
Indianapolis IN 46202-2915		
9. SPONSORING / MONITORING AGENCY	Y NAME(S) AND ADDRESS(ES)	10. SPONSOR/MONITOR'S ACRONYM(S)
U.S. Army Medical Research and N	Nateriel Command	
Fort Detrick, Maryland 21702-5012		11. SPONSOR/MONITOR'S REPORT
Tort Detrick, Maryland 21702-3012		NUMBER(S)
12. DISTRIBUTION / AVAILABILITY STAT	EMENT	
Approved for Public Release; Distri	bution Unlimited	
13. SUPPLEMENTARY NOTES		

#### 14. ABSTRACT

#### Purpose

The goal of this project was to test the hypothesis that innate immunity protein peptidoglycan recognition protein 3 (Pglyrp3) protects from inflammation, obesity, and metabolic syndrome by promoting a healthy gut microbiota.

#### Scope

The results from this project will increase our understanding of genetic factors that promote obesity and metabolic dysfunction and the role of gut bacteria in the development of metabolic disease. These studies will facilitate future development of novel approaches for prevention and treatment of obesity and associated diseases and may include use of *Pglyrp*-controlled microbiota to restore and maintain beneficial bacteria. Such novel approaches are essential in order to improve the health of military personnel, veterans, and their family members.

#### Major findings

*Pglyrp3*<sup>-/-</sup> mice on high fat diet (HFD) gained significantly less body mass and had significantly less adipose tissue and steatosis than WT mice on HFD. *Pglyrp3*<sup>-/-</sup> mice on HFD had lower serum triglycerides and developed significantly less glucose intolerance than WT mice on HFD. We further determined that the gut microbiota is altered in *Pglyrp3*<sup>-/-</sup> mice on HFD compared with WT mice on HFD.

#### Up-to-date report of results and significance

Our results demonstrate that innate immunity proteins modulate the development of obesity and metabolic disease in mice. *Pglyrp3* predisposes to diet-induced weight gain, hyperlipidemia, increased adiposity, steatosis, and development of glucose intolerance. To determine the role of the gut microbiota in the development of metabolic disease, we colonized Swiss Webster WT germ free mice with *Pglyrp3*<sup>-/-</sup> HFD microbiome or WT HFD microbiome. In these initial experiments we did not get a difference in weight gain or in metabolites between the two groups of colonized mice. In future experiments we will use BALB/c germ free mice to establish the role of the *Pglyrp3*<sup>-/-</sup> HFD microbiome in development of metabolic dysfunction and obesity.

15. SUBJECT TERMS					
None provided					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON USAMRMC
a. REPORT	b. ABSTRACT	c. THIS PAGE			19b. TELEPHONE NUMBER (include area code)
Unclassified	Unclassified	Unclassified	Unclassified	37	3335,

Standard Form 298 (Rev. 8-98) Prescribed by ANSI Std. Z39.18

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#### 1. INTRODUCTION

Low-grade inflammation and changes in the gut microbiota are associated with obesity, however the source of inflammation and the specific changes in the gut microflora that result in obesity are poorly understood (1-6). Innate immunity is the first line of defense and there is increasing evidence that components of the innate immune system modulate the development of obesity and associated diseases (7-9). Peptidoglycan recognition proteins (Pglyrps) are innate immunity proteins that regulate inflammation in response to bacterial peptidoglycan and maintain a healthy microbiome (10-14). However, the role of Pglyrps in obesity and metabolic syndrome is unknown. The goal of this project was to test the hypothesis that innate immunity proteins, protect from inflammation, obesity, and metabolic syndrome by promoting a healthy gut microbiota.

#### 2. KEYWORDS

Obesity, metabolic diseases, diabetes, innate immunity, microbiome

#### 3. ACCOMPLISHMENTS

#### Major goals

Specific Aim 1: To determine the role of <i>Pglyrps</i> in susceptibility to obesity and metabolic syndrome		IU
Major Task 1: Establish obesity model in <i>Pglyrp</i> -deficient mice		
Subtask 1: Obesity experiments with <i>Pglyrp</i> -deficient and WT mice, weigh mice, calculate food consumed, establish protocols for biochemical assays	1-9	Drs. Gupta & Park
Subtask 2: Collect stool samples, blood and organ samples, assay for cytokines, lipids, glucose, insulin sensitivity test, histopathology	3-12	Drs. Gupta & Park
Subtask 3: Identify bacteria in stool samples, analyze biochemical data and identify changes in bacterial populations	3-12	Drs. Gupta & Park
Milestone(s) Achieved: Role of Pglyrps in the development of obesity and metabolic syndrome is established		

#### Tasks accomplished

Dr. Park had to return to South Korea and Dr. Nunez was hired for this project.

**Subtask 1 is completed**: For obesity experiments *Pglyrp*-deficient (*Pglyrp3*<sup>-/-</sup>) and WT mice were placed on high fat diet (HFD) or on low fat diet (LFD) for 30 weeks and weight of individual mice was measured each week. The amount of food consumed was measured for each cage and the amount of food consumed per mouse and per day was calculated.

Our results demonstrate that *Pglyrp3* predisposes mice to diet-induced weight gain. *Pglyrp3*-/- mice maintained on high fat diet (HFD) gained significantly less weight than WT mice on HFD for the entire length of the experiment (Fig. 1). There was no difference in weight gained between *Pglyrp3*-/- mice on HFD or low fat diet (LFD), whereas there was a significant difference in weight gained between WT mice on HFD and LFD. There was no difference in the amount of food consumed between *Pglyrp3*-deficient (1.98 g food consumed/day/mouse) and WT mice (2 g of food consumed/day/mouse).

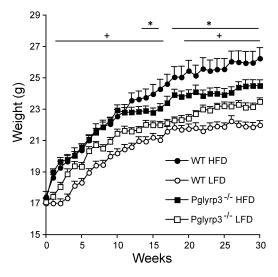


Figure 1.  $Pglyrp3^{-l-}$  mice on HFD gained significantly less weight than WT mice on HFD.  $Pglyrp3^{-l-}$  and WT mice on HFD or LFD were weighed each week for 30 weeks. The results are means  $\pm$  SEM of 18-21 mice/group. Significance of difference by test for  $Pglyrp3^{-l-}$  HFD tersus WT HFD, tersus WT HFD, tersus WT HFD tersus WT LFD, tersus P tersus WT LFD.

Subtask 2 is completed: We collected blood, liver, and adipose samples for cytokines, lipids, and glucose assays, for histopathology and for insulin sensitivity. We have also collected stool samples for microbiome  $\beta$ -diversity analysis and for colonization experiments.

We have performed assays for cholesterol, triglycerides, glucose, and insulin and our results demonstrate that *Pglyrp3* predisposes mice to diet-dependent hypertriglyceridemia and development of glucose intolerance. *Pglyrp3*-- mice on HFD had significantly lower serum triglycerides than WT mice on HFD and *Pglyrp3*-- mice on LFD had significantly lower serum triglycerides than WT mice on LFD (Fig. 2A). There was no difference in serum cholesterol between the two genotypes on HFD or LFD.

Both, WT and *Pglyrp3*-<sup>/-</sup> mice on HFD showed impaired ability to restore blood glucose in response to a bolus of glucose, however WT mice developed more severe glucose intolerance than *Pglyrp3*-<sup>/-</sup> mice (Fig. 2B). These data demonstrate that *Pglyrp3* predisposes mice to development of diet-dependent and diet-independent metabolic dysfunction.

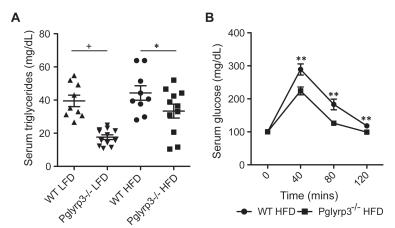


Figure 2. *Pglyrp3* predisposes mice to development of metabolic dysfunction. WT and *Pglyrp3* mice on HFD or LFD for 30 weeks were assayed for (A) serum triglycerides and (B) glucose tolerance. For the glucose tolerance test, mice were injected intraperitoneally with 2 g glucose/kg body mass and blood glucose was measured at indicated times. The results are means  $\pm$  SEM of 7-12 mice/group. Significance of difference by *ttest* for *Pglyrp3* HFD versus WT HFD, \* $P \le 0.05$ , \*\* $P \le 0.005$ ; for *Pglyrp3* LFD versus WT LFD \* $P \le 0.05$ .

WT mice on HFD had higher levels of serum insulin than  $Pglyrp3^{-l-}$  mice on HFD (Fig. 3A), which suggests the development of diet-dependent insulin resistance in WT mice. However, there was no significant difference between WT and  $Pglyrp3^{-l-}$  mice in an insulin resistance test (Fig. 3B).

We next measured serum levels for cytokines TNF- $\alpha$ , IL-6, IFN- $\gamma$ , and IL-1 $\beta$ , however all cytokines were below the detection limit in both groups of mice. These results suggest that the increased weight gain in WT mice on HFD compared with  $Pglyrp3^{-1}$  mice on HFD was not associated with increased cytokines.

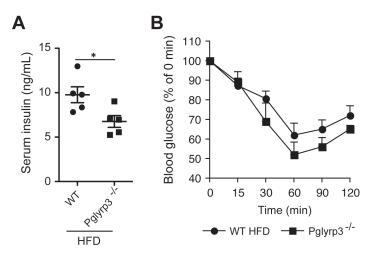


Figure 3.  $Pglyrp3^{-l-}$  mice on HFD have lower serum insulin than WT mice on HFD. WT and  $Pglyrp3^{-l-}$  mice on HFD for 30 weeks were assayed for (A) serum insulin and (B) insulin tolerance test (ITT). For the ITT, mice were injected intraperitoneally with 0.4 U/kg body weight of Humulin-R insulin (HealthWarehouse) in sterile PBS and blood glucose measured at 15, 30, 60, 90, and 120 min after injection. The results for (B) are means  $\pm$  SEM of 7-12 mice/group. Significance of difference by ttest for  $Pglyrp3^{-l-}$  HFD versus WT HFD,  $*P \le 0.05$ .

**Subtask 3 is completed**: We have analyzed the biochemical data and our results demonstrate that Pglyrp3 predisposes mice to diet-dependent hyperlipidemia and development of glucose intolerance. We isolated DNA from stool samples of Pglyrp3-deficient and WT mice, and assayed for bacterial diversity using pyrosequencing of the variable regions of bacterial 16S ribosomal RNA (rRNA) genes. We compared the sequences and analyzed the changes in bacterial ecology using Quantitative Insights Into Microbial Ecology (QIIME; http://qiime.sourceforge.net), Bayesian, PyNAST, and UniFrac. In our preliminary analysis, the microbiota of WT and  $Pglyrp3^{-/-}$  mice on HFD were significantly different in their  $\beta$ -diversity. Once the analysis is completed we will submit the complete dataset to NCBI.

#### Major goals

Specific Aim 2: To determine the role of <i>Pglyrp</i> -controlled microbiota in susceptibility to obesity and metabolic syndrome		
Major Task 2: Establish obesity model in germ-free mice colonized with Pglyrp-deficient or WT microbiota		
Subtask 1: Collect stool samples from <i>Pglyrp3</i> -/- and WT mice	3-6	Drs. Gupta & Park
Subtask 2: Gavage WT germ-free mice with <i>Pglyrp3</i> -/- and WT stools and after stable colonization of gut microflora keep mice on high fat or low fat diet	6-12	Drs. Gupta & Park
Subtask 3: Obesity experiments with stool transplanted mice, weigh mice, calculate food consumed	9-12	Drs. Gupta & Park
Subtask 4: Collect stool samples, blood and organ samples, assay for cytokines, lipids, glucose, insulin sensitivity test	12-15	Drs. Gupta & Park
Subtask 5: Analyze biochemical data, histopathology	15-18	Drs. Gupta & Park
Milestone(s) Achieved: Role of Pglyrp-controlled microbiota in susceptibility to obesity and metabolic syndrome is not demonstrated	18	

#### Tasks accomplished

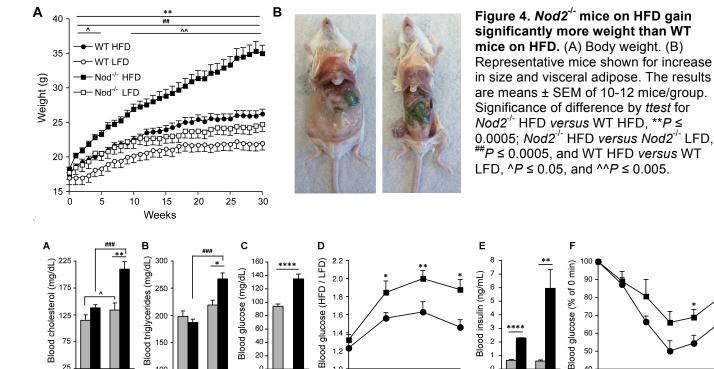
Dr. Park had to return to South Korea and Dr. Nunez was hired for this project. Dr. Nunez worked on the project for 16 months, but took up another position after that because of the lack of long-term

funding. We then hired Dr. Gurses for this project.

**Subtasks 1-5 are completed**: We collected stool samples from *Pglyrp3*<sup>-/-</sup> and WT mice maintained on HFD, placed them immediately in reducing buffer, homogenized, and filtered the homogenate. We used the stool homogenates to colonize Swiss Webster WT germ free mice with *Pglyrp3*<sup>-/-</sup> or WT microbiota and maintained all mice on HFD. The weight of individual mice was measured each week and our results demonstrate that there was no difference in weight between mice colonized with *Pglyrp3*<sup>-/-</sup> or WT microbiota. There was also no difference in the serum triglycerides and glucose between mice colonized with *Pglyrp3*<sup>-/-</sup> or WT microbiota. We did not assay for cytokines (part of Subtask 4) because there was no difference in weight gain, serum triglycerides or serum glucose between mice colonized with WT or *Pglyrp3*<sup>-/-</sup> microbiota.

The lack of difference in weight gain and metabolites between mice colonized with  $Pglyrp3^{-/-}$  or WT microbiota could be due to several potential reasons, including the strain of germ free mice used for these experiments. We used Swiss Webster germ free mice, which are outbred mice and most frequently used for similar microbiome colonization experiments. However, these mice are considerably different from BALB/c mice, which we have used for other experiments. Recently, BALB/c germ free mice have become available and we will repeat the colonization experiments using these mice.

In a separate ongoing project in my laboratory, we determined the effect of another innate immunity protein Nod2 on diet-dependent obesity and metabolic disease. We demonstrate that *Nod2*<sup>-/-</sup> mice on HFD compared with WT mice on HFD, gained significantly more weight with increased adiposity (Fig. 4, ref. 15). *Nod2*<sup>-/-</sup> mice on HFD developed hypercholesterolemia, hypertriglyceridemia, glucose intolerance, and insulin resistance (Fig. 5, ref. 15).



HFD HFD

LFD

HFD

LFD

■ WT ■ Nod2<sup>-/-</sup>

**Figure 5.** *Nod2*<sup>-/-</sup> mice on HFD develop hyperlipidemia, hyperglycemia, glucose intolerance and insulin resistance. WT and *Nod2*<sup>-/-</sup> mice were assayed for (A) cholesterol, (B) triglycerides, (C) glucose, (D) glucose tolerance, (E) insulin and (F) insulin resistance. (D) Mice were injected intraperitoneally with 2 g glucose/kg body mass for the glucose tolerance test and (F) with 0.4 U insulin/kg body mass for the insulin resistance test, and blood glucose was measured at indicated times. The results are means ± SEM of 6-10 mice/group. Significance

40

80

— Nod2 -/-

Time (min)

120

LFD

■ WT ■ Nod2<sup>-/-</sup>

HFD

30

Time (min)

WT HFD -■ Nod2 -/- HFD

of difference by *ttest* for  $Nod2^{-l-}$  *versus* WT (HFD or LFD), \* $P \le 0.05$ , \*\* $P \le 0.005$ , \*\*\*\* $P \le 0.0005$ ;  $Nod2^{-l-}$  HFD *versus* LFD, \* $P \le 0.005$ ; and WT HFD *versus* LFD, \* $P \le 0.005$ .

We identified the bacterial diversity in WT and  $Nod2^{-/-}$  mice maintained on HFD or LFD for 30 weeks using pyrosequencing. The microbiome of all four groups of mice (WT HFD,  $Nod2^{-/-}$  HFD, WT LFD and  $Nod2^{-/-}$  LFD) separated from each other in the Principal Coordinate Analysis (PCoA), with microbiota from each group showing distinct and significant separation in their  $\beta$ -diversity (Fig. 6A, ref. 15, 16). We detected many statistically significant effects of both the Nod2 genotype and the diet on bacterial  $\beta$ -diversity; with many significant changes in the abundance of various bacterial groups in the stools at all taxonomic levels (class diversity shown in Fig. 6B, ref. 15, 16).

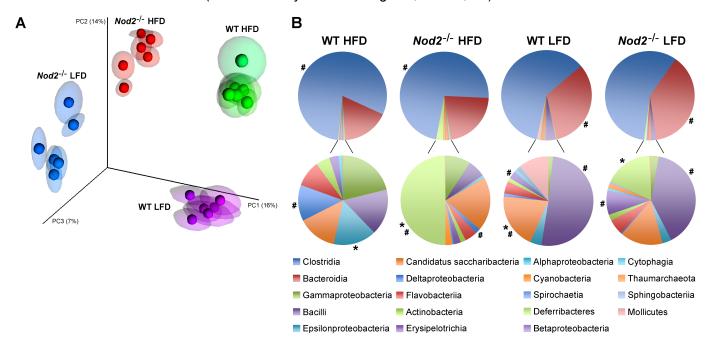


Figure 6. β-diversity in stool microbiota in WT HFD,  $Nod2^{-l}$  HFD, WT LFD, and  $Nod2^{-l}$  LFD mice. (A) PCoA, each sphere with confidence ellipsoid corresponds to a stool microbiome of one mouse. (B) Class abundance expressed as % of total stool microbiota is shown as average for all bacterial classes (top graphs) and for 17 less abundant classes expressed as % of total low abundant classes (excluding two most abundant classes, Clostridia and Bacteroida) (bottom graphs); \*, classes with significantly (at  $P \le 0.05$ ) increased abundance in WT versus  $Nod2^{-l}$  mice (on HFD or LFD); #, classes with significantly (at  $P \le 0.05$ ) increased abundance in HFD versus LFD groups (for WT or  $Nod2^{-l}$  mice).

We next considered whether the altered gut microbiota in *Nod2*-/- mice on HFD is sufficient for the development of diet dependent obesity. We colonized germ-free pregnant WT Swiss-Webster female mice with microbiota from either *Nod2*-/- HFD mice or WT HFD mice and placed mothers and pups on HFD (Fig. 7A, ref. 15). Pups born to the mothers colonized with *Nod2*-/- HFD microbiota were more susceptible to HFD-dependent weight gain, hyperlipidemia, and hyperglycemia than pups colonized with WT HFD microbiota (Fig. 7B, ref. 15). In conclusion, microbiota from *Nod2*-/- HFD mice can transfer to WT outbred germ-free mice enhanced susceptibility to HFD-dependent weight gain and metabolic dysfunction.

These data demonstrate that innate immunity molecules regulate development of diet-dependent obesity and metabolic disease. These data further demonstrate that the host innate immune system together with the diet regulate the gut microbiota and changes in the innate immune system together with a high fat diet induces dysbiosis, which promote or protect from obesity and metabolic disease. Additionally, these data further demonstrate that our approach of using colonized germ free mice is a relevant approach, with the potential to identify the mechanism by which innate immune system, gut microbiota, and diet regulate the development of disease.

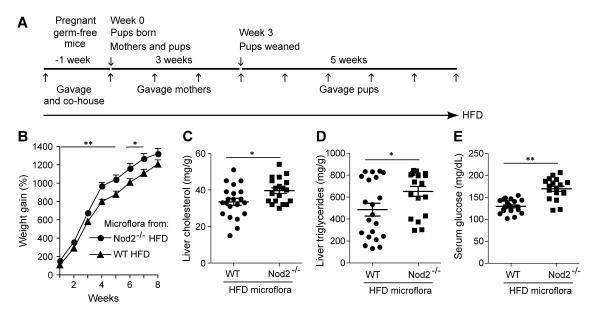


Figure 7. Intestinal microbiota from  $Nod2^{-l}$  HFD mice increases susceptibility of germ-free mice to HFD-induced obesity and metabolic dysfunction. (A) Germ-free pregnant mice and pups were colonized with WT or  $Nod2^{-l}$  HFD microbiota and maintained on HFD. (B-E) Pups were monitored for (B) weight gain and after 8 weeks assayed for (C) liver cholesterol, (D) liver triglycerides, and (E) serum glucose. (B) Means  $\pm$  SEM and (C-E) individual data of 19-21 mice/group. Significance of difference by test,  $*P \le 0.05$  and  $**P \le 0.001$ ,  $Nod2^{-l}$  HFD microbiome vs. WT HFD microbiome.

In summary, our results demonstrate that innate immunity molecules modulate development of diet-dependent obesity and metabolic dysfunction. Specific innate immunity molecules, including *Pglyrp3* and *Nod2*, promote or protect from diet-dependent obesity, hyperlipidemia and hyperglycemia. Our results further demonstrate that in the absence of *Nod2* and in the presence of a high fat diet the gut microbiota is altered, which contributes to the development of disease in *Nod2*<sup>-/-</sup> mice (15, 16).

#### Opportunities for training and professional development

The current project has provided all participants (Gupta, Nunez, Gurses, Dziarski, Caluag, and Kirby) with several opportunities for professional development and has resulted in increased knowledge and skill in the areas of metabolism and obesity research. It has also provided opportunities to train two undergraduate students (Caluag and Kirby) in the process of research and in the development of technical skills. Both students have graduated and are continuing to work in biomedical research.

#### How were results disseminated?

We have demonstrated that the innate immune molecule, *Pglyrp3*, promotes the development of diet-dependent obesity, hyperlipidemia, and hyperglycemia. We have further demonstrated that in the absence of *Pglyrp3* and HFD the gut microbiota is altered. We will continue to work on this project to demonstrate the role of the *Pglyrp3*-/- HFD-microbiota in protecting from diet-dependent obesity and metabolic disease. We will use our data as preliminary results for extramural funding and we will publish our complete findings in a peer-reviewed journal.

We have recently published our results on the role of the innate immunity molecule, Nod2 and Nod2-regulated microbiota in modulating development of obesity and metabolic disease (*Scientific Reports*, 2017, 7:548, doi: 10.1038/s41598-017-00484-2, ref. 15 and 16, and submitted in the Appendix).

#### Plans for the next reporting period

There is no next reporting period.

#### 4. IMPACT

#### Impact on the development of the principal discipline(s)

Our results identify a novel role for the innate immunity gene *Pglyrp3* in the development of dietinduced obesity and metabolic dysfunction and further identify a novel susceptibility gene in the development of theses diseases. The genetics of obesity in humans is poorly understood and it is likely that, similar to other complex diseases, a vast number of genes contribute to susceptibly to obesity.

In a separate ongoing project in my laboratory, we discovered that the innate immunity protein *Nod2* protects from diet-dependent obesity and metabolic disease. We demonstrate that *Nod2*<sup>-/-</sup> mice on HFD compared with WT mice on HFD, gained significantly more weight with increased adiposity, hypercholesterolemia, hypertriglyceridemia, glucose intolerance, and insulin resistance (Figs. 4 and 5, ref. 15). We further demonstrate that the *Nod2*<sup>-/-</sup> HFD microbiome is altered and contributes to the increased weight gain and metabolic dysfunction (Figs. 6 and 7, ref. 15, 16). These results confirm our hypothesis of a role for innate immunity and gut microbiome in regulating metabolism and also validate our experimental approach to determine the molecular mechanism of regulation.

Our research also has the potential to identify bacteria species that promote or protect from obesity and metabolic dysfunction. In the future such bacteria may be used as probiotics for the prevention of metabolic diseases.

#### Impact on the development of the other discipline(s)

*Pglyrps* have an established role in innate immunity, bactericidal activity, and inflammatory diseases. Our new data suggest that in addition Pglyrps modulate metabolism and also supports the emerging concept of cross talk between immune and metabolic pathways.

#### Impact on technology transfer

Nothing to report

#### Impact on society beyond science and technology

Nothing to report

#### 5. CHANGES/PROBLEMS

#### Changes in approach and reasons for change

Nothing to report

#### Actual or anticipated problems or delays and actions or plans to resolve them

We performed the experiments as described in Specific Aim 2, Subtasks 3 and 4. We colonized WT germ free Swiss Webster mice with microbiome from WT or *Pglyrp3*<sup>-/-</sup> mice maintained on HFD, maintained all colonized mice on HFD and assayed for weight gain and metabolites. However, there was no difference between the two groups of mice for weight gain, lipids, or glucose. The lack of difference in weight gain and metabolites between mice colonized with *Pglyrp3*<sup>-/-</sup> or WT microbiota could be due to several possible reasons, including the strain of germ free mice used for these experiments. We used Swiss Webster germ free mice, which are outbred mice and most frequently used for similar microbiome colonization experiments. However, these mice are considerably different from BALB/c mice, which we have used for other experiments. Recently, BALB/c germ free mice have become available and we will repeat the colonization experiments using these mice.

The original plan was to hire Dr. Park, a postdoctoral fellow in the laboratory, for the obesity project as Dr. Park has extensive experience in research on animal models of inflammatory diseases. However, shortly before the start of funding, Dr. Park returned to South Korea. We then hired Dr.

Nunez and he started work on the project in September 2015. Due to the lack of long-term funds Dr. Nunez left our lab in December 2016. We then hired Dr. Gurses who started work on the project in June 17. These changes in personnel significantly impacted the time line of the project.

#### Changes that had a significant impact on expenditures

Nothing to report

## Significant changes in use and care of human subjects, vertebrate animals, biohazards, and/or select agents

Nothing to report

#### 6. PRODUCTS

#### **Research Material**

Our research has led to the discovery of unique animal models to investigate the role of specific innate immunity molecules and the gut microbiome in the development of diet-dependent obesity and metabolic diseases.

In the U.S., more than 68% of all adults are overweight or obese and more than 70% of Veterans who receive VA care are either overweight or obese. More than half of obese individuals develop obesity-associated diseases including, type 2 diabetes, and cardiovascular disorders (17). Though the primary cause of obesity is an excess of caloric intake over expenditure, host genetics and the environment contribute significantly to the development of disease. There is increasing evidence for the role of host immune system and the gut microbiome in the development of metabolic disease (1-9). However, the molecular and biochemical basis of this influence is poorly understood. Furthermore, specific bacteria that promote or protect from diseases remain mostly unidentified.

Research using animal models such as our *Pglyrp3*<sup>-/-</sup> and *Nod2*<sup>-/-</sup> mice is crucial to obtain a comprehensive understanding of the causes of metabolic diseases. A detailed understanding of the molecular mechanisms of obesity and metabolic dysfunction will enable future development of novel approaches to their prevention and treatment in our veterans and our general population. These approaches will likely include re-balancing the gut microbiome using probiotics.

#### 7. PARTICIPANTS AND OTHER COLLABORATING ORGANIZATIONS

Name	Dipika Gupta
Project Role	PI
Months Worked on Project	18
Contribution to Project	Designed the experiments, performed some of the experiments, analyzed the data, and wrote the grant application, annual report and final report
Funding Support	Indiana University

Name	Roman Dziarski
Project Role	PI
Months Worked on Project	12
Contribution to Project	Designed the experiments, performed some of the experiments, analyzed the data
Funding Support	Indiana University

Name	Ivan Nunez
Project Role	Postdoctoral Fellow
Months Worked on Project	16
Contribution to Project	Designed the experiments, performed most of the experiments, analyzed the data
Funding Support	

Name	Serdar Gurses
Project Role	Postdoctoral Fellow
Months Worked on Project	6
Contribution to Project	Designed the experiments, performed some of the experiments, analyzed the data
Funding Support	

Name	Tiffany Caluag
Project Role	Undergraduate student
Months Worked on Project	9
Contribution to Project	Performed some of the experiments
Funding Support	Indiana University

Name	Kori Kirby
Project Role	Undergraduate student
Months Worked on Project	9
Contribution to Project	Performed some of the experiments
Funding Support	Indiana University

#### **8. SPECIAL REPORTING REQUIREMENTS**

None

#### 9. REFERNCES

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### **APPENDIX**

AWARD NUMBER: W81XWH-15-1-0219

TITLE: Role of bactericidal peptidoglycan recognition proteins in regulating gut microbiota and obesity

PRINCIPAL INVESTIGATOR: Dipika Gupta



Received: 22 September 2016 Accepted: 20 February 2017 Published online: 03 April 2017

# **OPEN** *Nod2* and *Nod2*-regulated microbiota protect BALB/c mice from diet-induced obesity and metabolic dysfunction

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Genetics plays a central role in susceptibility to obesity and metabolic diseases. BALB/c mice are known to be resistant to high fat diet (HFD)-induced obesity, however the genetic cause remains unknown. We report that deletion of the innate immunity antibacterial gene Nod2 abolishes this resistance, as Nod2<sup>-/-</sup> BALB/c mice developed HFD-dependent obesity and hallmark features of metabolic syndrome. Nod2<sup>-/-</sup> HFD mice developed hyperlipidemia, hyperglycemia, glucose intolerance, increased adiposity, and steatosis, with large lipid droplets in their hepatocytes. These changes were accompanied by increased expression of immune genes in adipose tissue and differential expression of genes for lipid metabolism, signaling, stress, transport, cell cycle, and development in both adipose tissue and liver. Nod2<sup>-/-</sup> HFD mice exhibited changes in the composition of the gut microbiota and long-term treatment with antibiotics abolished diet-dependent weight gain in Nod2<sup>-/-</sup> mice, but not in wild type mice. Furthermore, microbiota from  $Nod2^{-/-}$  HFD mice transferred sensitivity to weight gain, steatosis, and hyperglycemia to wild type germ free mice. In summary, we have identified a novel role for Nod2 in obesity and demonstrate that Nod2 and Nod2-regulated microbiota protect BALB/c mice from dietinduced obesity and metabolic dysfunction.

The increasing prevalence of obesity is a major health concern worldwide<sup>1</sup>. Obesity is a risk factor for other diseases and more than half of all obese individuals develop metabolic abnormalities, which include hyperglycemia, hyperlipidemia, hepatic steatosis, and/or hypertension. Though the primary cause of obesity is an excess of caloric intake over expenditure, genetics and the environment play a central role in regulating metabolism and in the development of these diseases. In addition, the gut microbiota contributes to energy homeostasis in the host and changes in the composition of the gut microbiota can contribute to the development of obesity and metabolic disease<sup>2,3</sup>. In turn, host genetics and environmental factors shape the microbiota and changes in either factor can alter intestinal bacterial populations. However, the genetic and environmental regulation of the microbiota is poorly understood and the specific changes in the gut bacteria that contribute to the development of obesity and metabolic disease are not identified.

Mouse genotype, similar to humans, greatly influences metabolism and different strains of mice differ in their response to high fat diet and sensitivity to metabolic diseases. BALB/c mice are naturally resistant to the development of high fat diet (HFD)-induced obesity, however the mechanism behind this resistance is not known<sup>4</sup>. There is increasing evidence for the role of innate immunity in the development of metabolic disease<sup>5, 6</sup> and in this study we investigated the role of the pattern recognition receptor Nod2 in the resistance of BALB/c mice to HFD-induced obesity.

Nod2 is involved in innate immunity to bacteria and is expressed in pro-inflammatory and antigen presenting cells, such as monocytes and dendritic cells, and also in structural cells, such as hepatocytes, preadipocytes, and intestinal epithelial cells<sup>7-10</sup>. Nod2 is a cytoplasmic sensor for bacterial peptidoglycan fragments, and stimulation of Nod2 results in the activation of NF-16B and MAP kinase-signaling cascades and production of inflammatory molecules and anti-microbial peptides<sup>11-13</sup>. Nod2 promotes a healthy microbiota in the intestine, and Nod2-deficient mice have an altered gut microbiota, which promotes colitis<sup>14, 15</sup>. Nod2 was the first susceptibility

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gene identified for Crohn's disease  $^{16-18}$ , however, the functional role of Nod2 in the pathogenesis of intestinal inflammation remains unknown and the role of Nod2 in other inflammatory and non-inflammatory disorders is mostly unexplored  $^{19-22}$ .

We demonstrate in this study that deletion of the pattern recognition receptor Nod2 abolishes the resistance of BALB/c to HFD-induced obesity.  $Nod2^{-/-}$  BALB/c mice on HFD gain substantially more weight than wild type (WT) BALB/c mice on HFD and become obese. Obese  $Nod2^{-/-}$  BALB/c mice develop metabolic dysfunction including hyperlipidemia, hyperglycemia, and steatosis, and have increased adipose tissue mass and large lipid droplets in hepatocytes.  $Nod2^{-/-}$  mice on HFD have dysbiosis and the altered gut microbiota contributes to the development of diet-dependent obesity and metabolic dysfunction.

#### Results

**Nod2** protects from diet-induced obesity in BALB/c mice. WT and  $Nod2^{-/-}$  female mice on BALB/c background, matched for weight (average 18 g) and age (6–7 weeks old) at the beginning of the experiment were maintained on HFD (fat 60 kcal%) or low fat diet (LFD, fat 10 kcal%) for 30 weeks. The total calories/g of food was the same for both diets. We observed a dramatic increase in weight gained by  $Nod2^{-/-}$  mice compared with WT mice on HFD (Fig. 1a).  $Nod2^{-/-}$  mice gained significantly more weight than WT mice starting from week 2 on HFD, and at week 30  $Nod2^{-/-}$  mice gained almost twice as much weight as WT mice. As expected, WT and  $Nod2^{-/-}$  mice on HFD gained significantly more weight than WT and  $Nod2^{-/-}$  mice on LFD. There was no difference in weight gained between WT and  $Nod2^{-/-}$  mice on LFD. Our data with WT mice concur with previous data showing that WT BALB/c mice do not become obese on HFD<sup>4</sup>.

 $Nod2^{-/-}$  mice on HFD were visibly larger with substantially more fat deposits than WT HFD mice (Fig. 1b) and had significantly increased subcutaneous and visceral adipose tissue and an enlarged and fatty liver compared with WT HFD mice (Fig. 1c and d). There was no difference in the amount of food consumed or water intake between WT and  $Nod2^{-/-}$  HFD mice; average food consumed/mouse/day was 2.0 g for both genotypes and (Supplementary Fig. S1); the average water intake/mouse/day was 4.0 ml for both genotypes. There was also no significant difference in the average running distance/24 h between WT (9.48 km) and  $Nod2^{-/-}$  (9.59 km) mice on regular chow (Supplementary Fig. S1).

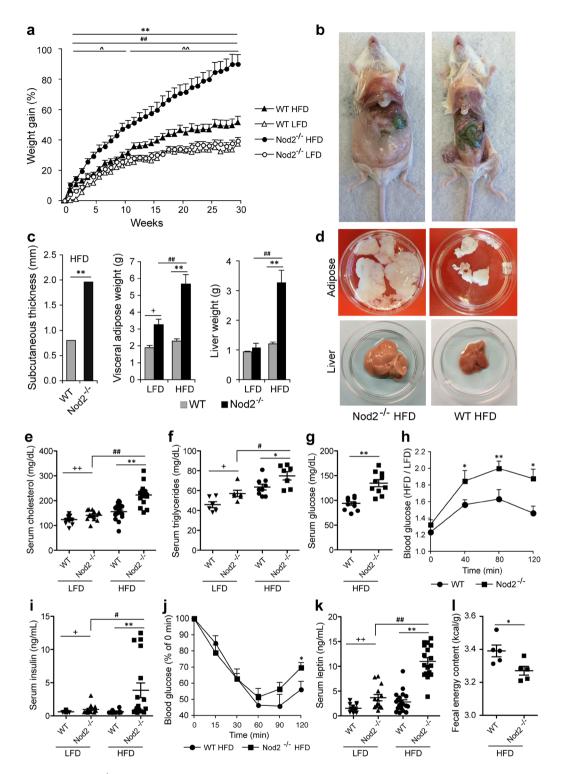
Thus, our data demonstrate that in WT mice Nod2 protects from the development of HFD-induced obesity and that Nod2 deletion reverses the resistance to obesity observed in WT BALB/c mice. The increased weight gain in  $Nod2^{-/-}$  HFD mice compared with WT HFD mice is not due to increased food consumption or due to an inherent lower activity.

Nod2 protects from diet-induced hyperlipidemia, hyperglycemia, and glucose intolerance in BALB/c mice. We next examined the effect of Nod2 and HFD on lipid and carbohydrate metabolism. Nod2<sup>-/-</sup> HFD mice exhibited significantly higher levels of serum cholesterol and triglycerides compared with WT HFD mice and compared with  $Nod2^{-/-}$  and WT mice on LFD (Fig. 1e and f).  $Nod2^{-/-}$  HFD mice had significantly higher fasting glucose and impaired ability to restore blood glucose in response to a bolus of glucose compared with WT HFD mice (Fig. 1g and h), which indicates development of glucose intolerance.  $Nod2^{-/-}$  HFD mice had significantly higher levels of serum insulin than WT HFD, Nod2<sup>-/-</sup> LFD, and WT LFD mice (Fig. 1i), and exhibited a mild but significantly reduced response to exogenous insulin compared with WT HFD mice 120 min after an insulin challenge (Fig. 1j), which indicates the development of insulin resistance and suggests that partial compensation may be achieved by increased insulin production. Nod2<sup>-/-</sup> HFD mice had significantly higher serum levels of the anorexic hormone leptin than WT HFD mice, Nod2<sup>-/-</sup> LFD mice, and WT LFD mice (Fig. 1k). WT HFD mice had significantly higher triglycerides than Nod2<sup>-/-</sup> LFD and WT LFD mice, which indicates the development of mild metabolic dysfunction in WT HFD mice. Nod2<sup>-/-</sup> LFD mice also had a smaller but significant increase in serum lipids, glucose, insulin, and leptin compared with WT LFD mice. Thus, our results demonstrate that Nod2<sup>-/-</sup> HFD mice develop hyperlipidemia, hyperglycemia, and glucose intolerance, which are hallmark manifestations of metabolic syndrome in humans.

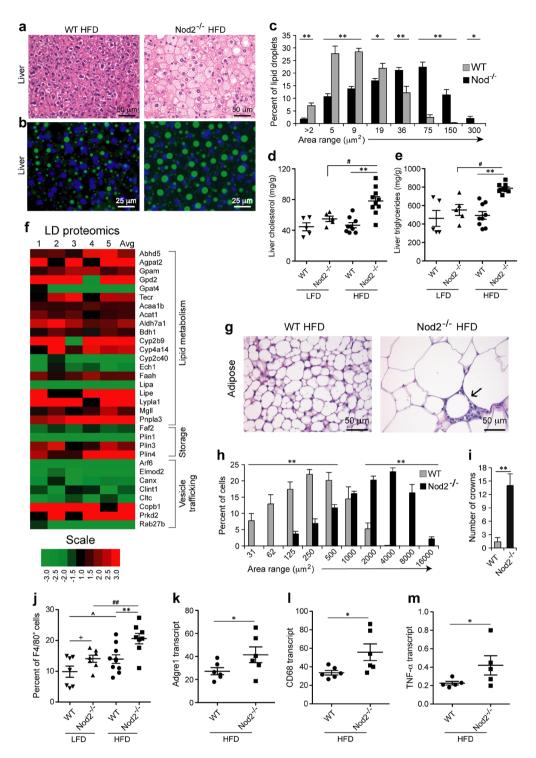
To determine any differences in the total energy extracted from food between  $Nod2^{-/-}$  HFD mice and WT HFD mice, we measured the total calories present in the stool samples of these mice. Our results show that total caloric value of  $Nod2^{-/-}$  HFD feces was significantly lower than WT HFD feces (Fig. 1l). These results indicate that  $Nod2^{-/-}$  mice on HFD extract more energy from the same amount of food than WT mice on HFD, which may be due to differences in the metabolism of host or gut microbiota.

**Nod2** protects from diet-induced histopathology in BALB/c mice. We characterized the histopathology in the liver and adipose tissue of  $Nod2^{-/-}$  obese mice.  $Nod2^{-/-}$  HFD mice had significantly larger hepatocytes than WT mice on HFD and the majority of these cells had a foamy appearance, which indicates lipid accumulation (Fig. 2a). In BODIPY stained sections there was a dramatic and significant increase in the size of lipid droplets (LDs) in  $Nod2^{-/-}$  mice compared with WT mice (Fig. 2b). The area for LDs ranged from  $2 \mu m^2$  to  $300 \mu m^2$  with the highest percentage of LDs in the 36 to  $75 \mu m^2$  range for  $Nod2^{-/-}$  mice and 5 to  $9 \mu m^2$  range for WT mice (Fig. 2c).  $Nod2^{-/-}$  mice on HFD had significantly higher levels of liver cholesterol and triglycerides than WT HFD,  $Nod2^{-/-}$  LFD, and WT LFD mice (Fig. 2d and e). Thus, our histological and biochemical data further support our results showing development of obesity in  $Nod2^{-/-}$  HFD mice and also support our data for WT mice, which do not become obese and do not have a fatty liver (Fig. 1).

We next identified differences in the proteins associated with LDs between  $Nod2^{-/-}$  and WT mice on HFD. We isolated proteins from LD-enriched fractions and identified them by mass spectrometry. All proteins that were significantly different ( $P \le 0.05$  and fold change  $\ge 1.5$  or  $\le 0.6$ ) between  $Nod2^{-/-}$  and WT mice are directly or indirectly involved in lipid metabolism or in vesicle trafficking (Fig. 2f).  $Nod2^{-/-}$  HFD mice compared with WT HFD mice had significantly higher levels of Abhd5, Agpat2, Gpam, and Gpd2 but decreased levels of Gpat4,



**Figure 1.**  $Nod2^{-/-}$  mice on HFD become obese and develop hyperlipidemia, hyperglycemia, and glucose intolerance. WT and  $Nod2^{-/-}$  mice were monitored for weight gain, increased adiposity, and assayed for metabolites. (a) Percent gain in body weight over week 0. (b-d) At week 30, (b) representative mice are shown for increase in overall size and visceral adipose tissue, (c) average increase in subcutaneous thickness and weight of visceral adipose tissue and liver per mouse, and (d) total visceral adipose tissue and liver from representative mice. (e-j) At week 30, mice were assayed for serum (e) cholesterol, (f) triglycerides, (g) glucose, (i) insulin, and (k) leptin, and for the development of (h) glucose tolerance and (j) insulin resistance. (l) Total caloric value in fecal samples. The results are (a) means  $\pm$  SEM of 18–25 mice/group; (c,h,j) means  $\pm$  SEM of 10–12 mice/group; or (e-g,i,k,l) for individual mice/group  $\pm$  SEM. (h) Data for the glucose tolerance test is shown as ratio of HFD to LFD for each strain. \* $P \le 0.05$ , \*\* $P \le 0.001$ , \* $Nod2^{-/-}$  HFD \* $Volume Nod2^{-/-}$  LFD; \* $Volume Nod2^{-/-}$  LFD; \* $Volume Nod2^{-/-}$  LFD; \* $Volume Nod2^{-/-}$  LFD. The results for food consumed and voluntary wheel activity are shown in Fig. \$1.



**Figure 2.**  $Nod2^{-/-}$  mice on HFD develop steatosis and have large stressed adipocytes with increased infiltration of macrophages. (**a,b**) Liver sections from  $Nod2^{-/-}$  and WT mice stained with (**a**) H&E or (**b**) BODIPY and DAPI. (**c**) Percent frequency distribution of the area (μm²) of ~5,000 LDs/group. (**d,e**) Quantification of liver cholesterol and triglycerides. (**f**) Heatmap representation of the fold increase (red) or decrease (green) in the abundance of proteins present in LD-enriched fraction from liver. The fold ratio for individual  $Nod2^{-/-}$  HFD mice to the average of WT HFD mice were computed (lanes 1 to 5) with the average of the fold for all  $Nod2^{-/-}$  HFD mice. (**g**) Adipose tissue H&E sections and a dying cell (→) is indicated. (**h**) Percent frequency distribution the area of ~8,000 adipocytes/group. (**i**) Average numbers of dying adipocytes (crowns) per 3,000 cells in H&E stained sections. (**j**) Quantification of CD45+F4/80+ macrophage in adipose tissue. (**k-m**) Transcript levels for *Adgre1*, *Cd68*, and *Tnfα* in adipose tissue. Images are representative and results are (**c,h,i**) means ± SEM of 6 mice/group and (**d,e,j-m**) for individual mice with N = 5–10 mice/group. \*P ≤ 0.05, \*P ≤ 0.001,  $Nod2^{-/-}$  HFD *versus* WT HFD; \*P ≤ 0.05, \*P ≤ 0.001,  $Nod2^{-/-}$  HFD *versus* NOd2<sup>-/-</sup> LFD; P ≤ 0.05, WT HFD *versus* WT LFD; and \*P ≤ 0.05,  $Nod2^{-/-}$  LFD *versus* WT LFD.

proteins associated with biosynthesis of glycerolipids. Tecr, a protein involved in the synthesis of very long chain fatty acids was increased in  $Nod2^{-/-}$  HFD mice. Many proteins that participate in the catabolism of lipids were also more abundant in  $Nod2^{-/-}$  HFD mice than in WT HFD mice, including Acaa1b, Acat1, Aldh7a1, Bdh1, Cyp2b9, Cyp4a14, Faah, Lipe, Lypla1, Mgll, and Pnpla3. Proteins associated with lipid storage were selectively up regulated or down regulated, Plin3 and Plin4 were present at higher and Faf2 and Plin1 at lower levels in  $Nod2^{-/-}$  HFD mice. Several proteins involved in vesicle trafficking were decreased in the LD-enriched fraction of  $Nod2^{-/-}$  HFD mice compared with WT HFD mice. However, Copb1, a protein associated with non-clathrin coated vesicles with a role in limiting lipid storage, was significantly higher in  $Nod2^{-/-}$  HFD mice than WT HFD mice. These data indicate that the larger LDs in  $Nod2^{-/-}$  HFD mice compared with WT HFD mice are accompanied by changes in lipid metabolizing enzymes and in vesicle trafficking proteins.

 $Nod2^{-/-}$  mice on HFD had enlarged adipocytes with significantly larger area than WT HFD mice (Fig. 2g and h). The area for adipocytes ranged from  $31\,\mu\text{m}^2$  to  $16,000\,\mu\text{m}^2$  with the highest percentage of cells in the 2000 to  $4000\,\mu\text{m}^2$  range for  $Nod2^{-/-}$  mice and 250 to  $500\,\mu\text{m}^2$  for WT mice (Fig. 2h).  $Nod2^{-/-}$  mice had significantly more "crowns", which are dead adipocytes surrounded by immune cells (Fig. 2g and i, indicated by an arrow) and are a characteristic feature of stressed adipose tissue associated with obesity.  $Nod2^{-/-}$  mice on HFD had significantly more F4/80<sup>+</sup> macrophages in adipose tissue than WT mice on HFD,  $Nod2^{-/-}$  LFD, and WT LFD mice (Fig. 2j) with a corresponding increase in the transcripts for Adgre1 (gene for F4/80) and Cd68, another marker for monocytes/macrophages (Fig. 2k and l). Expression of  $Tnf\alpha$ , an inflammatory cytokine associated with obesity was enhanced (Fig. 2m). Thus, our data demonstrate that Nod2 protects from lipid accumulation in the adipose tissue and liver, and that development of diet-dependent obesity in  $Nod2^{-/-}$  HFD mice is associated with steatosis, formation of large LDs in hepatocytes, stressed adipocytes, and infiltration of macrophages in the adipose tissue.

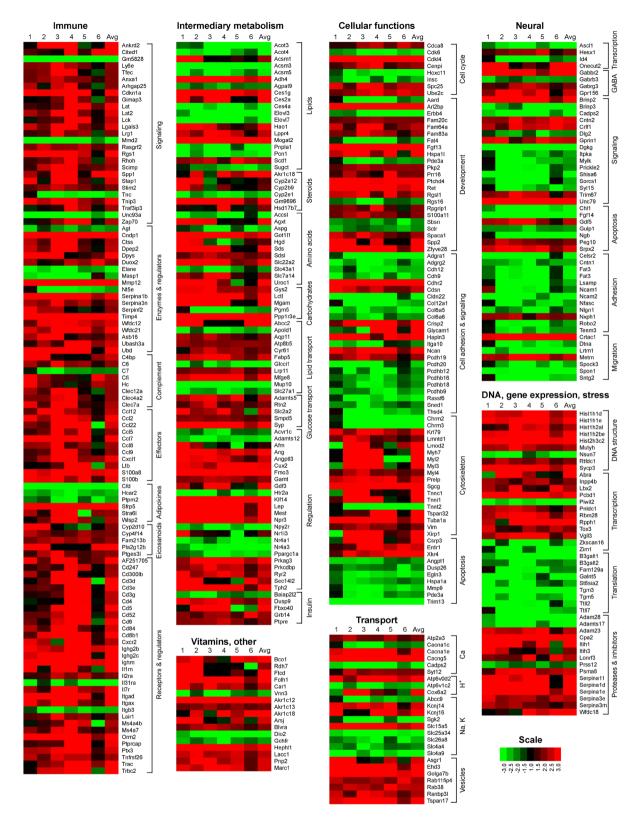
Diet-dependent obesity in  $Nod2^{-/-}$  mice is associated with changes in the expression of adipose tissue genes involved in immune responses and intermediary metabolism. We identified genes that were differentially expressed in the visceral adipose tissue of WT and Nod2<sup>-/-</sup> BALB/c mice maintained on HFD for 30 weeks using RNA transcriptomics. Nod2<sup>-/-</sup> HFD mice had 357 genes with significantly increased expression (and fold change ≥2) and 169 genes with significantly decreased expression (and fold change ≤0.5) compared with WT mice on HFD (Supplementary Fig. S2). 79% of the differentially expressed genes are associated with a function or pathway (Ensembl or DAVID) and are shown in Fig. 3 and Supplementary Table S1. More than 100 genes that directly or indirectly participate in immune responses were differentially regulated and the majority of these genes (>80) were significantly up regulated in the adipose tissue of  $Nod2^{-/-}$  HFD mice compared with WT HFD mice. These genes code for transcription factors, signaling molecules, effector molecules, enzymes, and receptors, all involved in regulating immune cells and responses. For example, Nod2<sup>-/-</sup> mice on HFD had increased expression of genes for (i) chemokines Ccl2, Ccl5, Ccl7, Ccl8, Ccl12, Ccl22, and Cxcl1, which recruit monocytes, eosinophils, and lymphocytes; (ii) enzymes involved in arachidonic acid and eicosanoid metabolism, Cyp2c39, Cyp2d10, Cyp4f14, Fam213b, Pla2g12b, and Ptges3l; (iii) receptors involved in T cell activation, including  $Cd3\delta$ ,  $Cd3\varepsilon$ ,  $Cd3\gamma$ , Cd4, Cd5, Cd6, and Cd52; and (iv) adipokines Sfrp5, Stra6l, and Wisp2, and the protease Cpn1. Expression of genes for adipsin (Cfd) and regulators of adipokines, Hcar2 and Ptprn2, was decreased.

The second largest group of genes that was differentially regulated between WT and  $Nod2^{-/-}$  HFD mice directly or indirectly participates in intermediary metabolism, primarily lipid metabolism (Fig. 3, Supplementary Table S1). These genes include: (i) enzymes involved in breakdown of fatty acids and glycerolipids, for example, Acot3, Acot4, Ces4a, Agpat9, and Pnpla1 were increased; (ii) members of the acyl-CoA synthetase medium chain family were up regulated (Acsm1) or down regulated (Acsm3 and Acsm5); (iii) enzymes in the synthesis of fatty acids and triglycerides, Mogat2 and Scd1, were increased; (iv) transporters for fatty acids, cholesterol, glycerolipids, and glycerol, Abcc2, Aqp11, Atp8b5, Fabp5, and Mfge8, were up regulated, and Apold1, Glcci1, and Slc27a1, were down regulated.

Nod2<sup>-/-</sup> mice on HFD had several differentially expressed genes that directly or indirectly participate in amino acid and carbohydrate metabolism compared with WT HFD mice (Fig. 3, Supplementary Table S1). These genes include: (i) enzymes in amino acid metabolism, Accsl, Agxt, and Aspg were decreased or Got1l1, Hgd, Sds, and Sdsl were increased and (ii) amino acid transport, Slc22a2, Slc7a14, and Uroc1 were increased. Genes for: (i) enzymes in carbohydrate metabolism, Gys2, Lctl, Mgam, and Ppp1r3e and (ii) glucose transport, Adamts5, Rtn2, Slc2a2, Smpd5, and Syp were up regulated, but (iii) insulin signaling, Dusp9, Fbxo40, Grb14, and Ptpre were down regulated.

Nod2<sup>-/-</sup> mice on HFD had an overall decrease in the expression of genes involved in (i) neural signaling, including Brinp3, Cadps2, Dlg2, Itpka, Mylka, Prickle2, Shisa6, Sorcs1, Syt15; (2) nerve adhesion, Celsr2, Cntn1, Fat3, Lsamp, Ncam1, Ncam2, Nfasc, and Nlgn1; and (iii) transcriptional regulators for neural development, Ascl1, Hesx1, and Id4 (Fig. 3, Supplementary Table S1).

Genes involved in other cellular functions, including cell cycle, development, cell adhesion, and cytoskeleton were also differentially expressed (Fig. 3, Supplementary Table S1). Nod2<sup>-/-</sup> HFD mice also had an overall decrease in the expression of genes involved in (i) cell adhesion and signaling, including Adgra1, Adgrg2, Cdh12, Cdh9, Cldn22, Col12a1, Col6a5, Col6a6, Pcdh20, Pcdhb12, Pcdhb16, Pcdhb18, Pcdhb9, Rassf6, Sned1, and Thsd4; and (ii) inhibition of apoptosis, including Angpt1, Dusp26, Egln3, Hspa1a, and Pde3a. There was an overall increase in expression of genes involved in: (i) DNA structure, repair and chromatin silencing, for example, Hist1h1d, Hist1h2al, Hist1h2be, Hist2h3c2, and Mutyh; (ii) transcription and post-transcriptional processing, Abra, Inpp4b, Lbx2, Pcbd1, Pnldc1, Rbm28, and Rpph1; and (iii) proteases and protease inhibitors, Adam23, Cpa2, Itih1, Itih3, Lonrf3, Psma8, Serpina11, Serpina1d, Serpina1e, Serpina3e-ps, Serpina3m, and Wfdc18. However, there was a noticeable decrease in genes involved in translation and post-translational processing, B3galt1, B3galt2, Fam129a, Galnt5, St8sia2, Tgm3, Tgm5, Ttll2, and Ttll7.



**Figure 3.** Differential expression of genes for immunity, metabolism, and other cellular functions in the adipose tissue of  $Nod2^{-/-}$  mice on HFD. Heatmap representation of the fold increase (red) or decrease (green) for gene expression in the adipose tissue of  $Nod2^{-/-}$  HFD mice compared with WT HFD mice. The genes are grouped based on function or pathway. The fold ratio for individual  $Nod2^{-/-}$  HFD mice to the average of WT HFD mice was computed and is shown (lanes 1 to 6) with the average of the fold for all  $Nod2^{-/-}$  HFD mice. Genes that had a fold change of  $\geq$ 2 or  $\leq$ 0.5 ( $\leq$ -2) and  $P\leq$ 0.05 with 5% FDR are included in the heatmap. N=6 mice/group. The numerical data for fold increase in individual mice, the average, P value, and FDR are shown in Supplementary Table S1.

Thus, our results indicate that genes involved in a wide array of pathways and cellular functions are differentially regulated in the adipose tissue of Nod2<sup>-/-</sup> HFD mice compared with WT HFD mice. These changes in gene expression may contribute to the increased adiposity, steatosis, obesity, and metabolic dysfunction observed in *Nod2*<sup>-/-</sup> mice on HFD. Future studies should confirm these changes at the protein level and focus on their role in the development of obesity in  $Nod2^{-/-}$  HFD mice.

Diet-dependent obesity in  $Nod2^{-j-}$  mice is associated with changes in the expression of liver genes involved in intermediary metabolism. We next identified genes that were differentially expressed in the liver of WT and Nod2<sup>-/-</sup> BALB/c mice maintained on HFD for 30 weeks using RNA transcriptomics.  $Nod2^{-/-}$  HFD mice had 188 genes with significantly increased expression (and fold change  $\geq 2$ ) and 130 genes with significantly decreased expression (and fold change ≤0.5) compared with WT mice on HFD (Supplementary Fig. S2). 87% of the differentially expressed genes are associated with a function or pathway (Ensembl or DAVID) and are shown in Fig. 4 and Supplementary Table S2. Of these genes, there were more than 60 genes that directly or indirectly participate in intermediary metabolism, primarily lipid metabolism and include genes for enzymes, transport, and regulation. For example, Nod2<sup>-/-</sup> HFD mice had higher expression of enzymes and regulators involved in: (i) synthesis and storage of fatty acids, triglycerides, steroids, and glycolipids, Acacb, Acss2, Elovl3, Elovl6, Fitm1, G0s2, Hsd3b5, Mogat1, Scd1, and St6galnac4; (ii) catabolism of lipids, Pla2g4f, Pnpla3, Pnpla5, Pex11a, and Phyhip; and (iii) carbohydrate metabolism, Gck, Pdk4, and Ppp1r3e. Several genes involved in transport of metabolites and nutrients were down regulated, including Aqp4, Fabp5, Ndgr1, and Slco1A1, whereas other transporters were up regulated, including Cd36, Mfsd2a, Osbpl5, Pltp, Slc10a2, Slc16a13, and Slc2a4 (Glut4).  $Nod2^{-/-}$  HFD mice had decreased expression of the leptin receptor (*Lepr*).

Nod2<sup>-/-</sup> mice on HFD had about 50 genes involved in immune responses that were differentially expressed in the liver compared with WT mice on HFD (Fig. 4, Supplementary Table \$2). Transcription factors involved in the activation of immune cells, Arl2bp, Cbfa2t3, and  $Cebp\varepsilon$ , were increased, whereas transcriptional repressors Bcl6 and Cam1kd were decreased. There was a noticeable increase in the expression of many interferon-induced genes, including the chemokines Cxcl19, Cxcl10, and Cxcl11. Genes for the T cell receptor  $Cd8\alpha$  and retinoic acid metabolism, Cyp26b1, Raet1\delta, and Raet1\delta, that regulate T and NK cell immune responses were induced, but genes in arachidonic acid metabolism, Alox15, Cyp2c54, Cyp2g1, and Cyp2s1, were inhibited.

Nod2<sup>-/-</sup> mice on HFD had increased expression of many liver genes involved in DNA structure, replication, and repair (Fig. 4, Supplementary Table S2). Genes for serine protease inhibitors (Itih5, Serpina4-ps1, Serpina7, and Serpina9), chaperones (Hsp1a and Hsp1b), and ubiquitination (Kelch33, Klhl33, Trim13, and Ube2ql1) were decreased. Genes for cell cycle and cell proliferation were overwhelmingly induced, however many genes involved in development were down regulated, including transcription factors (Irx1, Klf4, Meox1, Sox9, Sp5, and Tbx3), signaling molecules (Arhgef40, Dact2, Mpzl1, Mrgpre, and Pdgfc) and components of the Wnt pathway (Axin2, Bcl7c, Wif1, and Lgr5). Several genes involved in neural development were down regulated, including proteins involved in ion and vesicular transport (Adam11, Cacnα1d, Syngr1, and Syt9) and neural signaling (Aph1c, Cadm4, and Pde6c). However, genes for acetylcholine receptor (Chrna4) and signaling at the neuronal junction were up regulated (Clstn3, Cntnap1, and Pclo). The tyrosine kinase receptor, Ntrk2, which has a role in suppressing appetite, was also up regulated (Fig. 4, Supplementary Table S2).

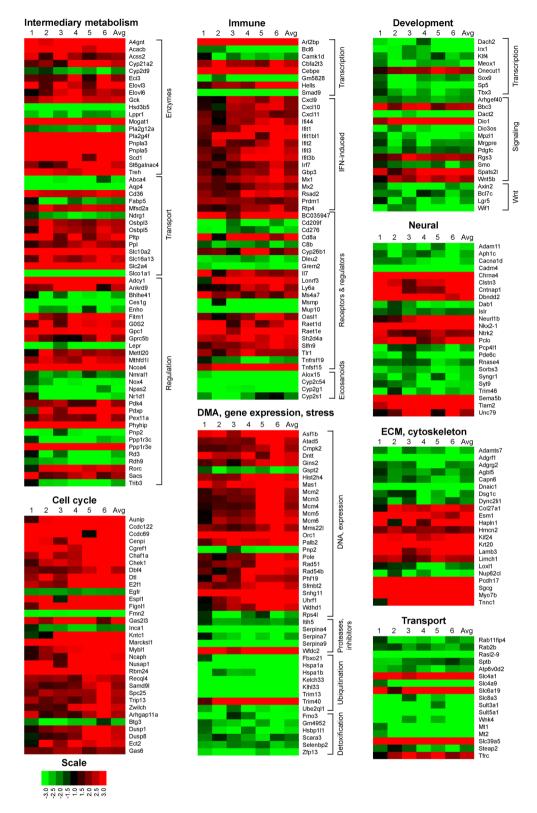
Nod2<sup>-/-</sup> mice on HFD had increased expression of many genes involved in extracellular matrix organization and cell adhesion, including Col27a1, Esm1, Hapln1, Hmcn2, Lamb3, Myo7b, and Pcdh17. Genes involved in vesicle trafficking (Rab11fip4, Rab2b, Rasl2-9, and Sptb) and proton, sodium and sulfur transport (Atp6v0d2, Slc4a9, Slc8a3, Sult3a1, Sult5a1, and Wnk4) were down regulated, whereas genes for zinc and iron uptake (Slc39a5 and

*Tfrc*) were increased (Fig. 4, Supplementary Table S2).

These results indicate that in the liver, genes involved in a wide array of pathways and cellular functions are differentially regulated by HFD in  $Nod2^{-/-}$  mice compared with WT mice. These changes in gene expression may contribute to the increased adiposity, steatosis, obesity, and metabolic dysfunction observed in Nod2<sup>-/-</sup> mice on HFD. Future studies should confirm these changes at the protein level and focus on their role in the development of obesity in  $Nod2^{-/-}$  HFD mice.

Nod2<sup>-/-</sup> genotype and HFD and LFD affect diversity of stool microbiome. Because intestinal microbiome is important for energy extraction from food, we determined the diversity of intestinal bacteria in WT and  $Nod2^{-/-}$  mice on HFD and LFD, to identify in detail the differences in their intestinal microbiota. We isolated DNA from stool microbiota and performed genetic phylotyping (community profiling) using pyrosequencing of the variable regions of bacterial 16S ribosomal RNA (rRNA) genes. To determine the effect of genotype, we compared microbiomes in WT and  $Nod2^{-/-}$  mice on the same diets, and to determine the effect of diets, we compared microbiomes in mice of the same genotype fed HFD or LFD.

We first evaluated  $\alpha$ -diversity of the microbiomes of WT and  $Nod2^{-/-}$  mice on HFD and LFD. We identified a total of 223 species and 4425 operational taxonomic units (OTUs) in the stools of all mice: 153, 172, 180, and 164 species and 2344, 2589, 2392, and 2381 OTUs in WT HFD,  $Nod2^{-/-}$  HFD, WT LFD, and  $Nod2^{-/-}$  LFD mice, respectively. The total number of OTUs identified in  $Nod2^{-/-}$  HFD mice was significantly higher than in WT HFD mice, whereas the total numbers of OTUs in Nod2<sup>-/-</sup> LFD and WT LFD mice, as well as total numbers of species in all groups of mice were similar with no significant differences between the groups (Supplementary Fig. S3). The numbers of species and OTUs per mouse (microbiome richness) were similar in all groups of mice, except for WT LFD mice, which had significantly more species/mouse than WT HFD mice. Both Shannon diversity indices (H) and Shannon equitability indices (E<sub>H</sub>, reflecting microbiome evenness) were significantly higher for the species in  $Nod2^{-/-}$  HFD than in WT HFD mice, and for both the species and OTUs in WT LFD than in  $Nod2^{-/-}$  LFD mice, and also for both the species and OTUs in WT LFD than in WT HFD mice (Supplementary Fig. S3). These



**Figure 4.** Differential expression of genes for immunity, metabolism, and other cellular functions in the liver of  $Nod2^{-/-}$  mice on HFD. Heatmap representation of the fold increase (red) or decrease (green) for gene expression in the adipose tissue of  $Nod2^{-/-}$  HFD mice compared with WT HFD mice. The genes are grouped based on function or pathway. The fold ratio for individual  $Nod2^{-/-}$  HFD mice to the average of WT HFD mice was computed and is shown (lanes 1 to 6) with the average of the fold for all  $Nod2^{-/-}$  HFD mice. Genes that had a fold change of  $\geq 2$  or  $\leq 0.5$  ( $\leq -2$ ) and  $P \leq 0.05$  with 5% FDR are included in the heatmap. N = 6 mice/group. The numerical data for fold increase in individual mice, the average, P value, and FDR are shown in Supplementary Table S2.

results show that both  $Nod2^{-/-}$  genotype on HFD and WT genotype on LFD may increase  $\alpha$ -diversity, although these changes are very modest.

We then evaluated  $\beta$ -diversity of the microbiomes. Microbiota from stools of all four groups of mice (WT HFD,  $Nod2^{-/-}$  HFD, WT LFD and  $Nod2^{-/-}$  LFD) separated from each other in the Principal Coordinate Analysis (PCoA), with microbiota from each group of mice showing distinct and significant separation from the microbiota of all other groups of mice (Fig. 5a). These results suggested differences in  $\beta$ -diversity in the intestinal microbiomes between all four groups of mice with both the genotype (WT and  $Nod2^{-/-}$ ) and the diet (HFD and LFD) affecting  $\beta$ -diversity of stool microbiomes. These results prompted us to further identify the differences between these microbiomes.

We detected many statistically significant effects of both Nod2 genotype and the diet on bacterial  $\beta$ -diversity, with many significant changes in the abundance of various bacterial groups in the stools at all taxonomic levels. For example, at the class level (Fig. 5b and Supplementary Fig. S4), WT HFD mice had significantly increased abundance of *Epsilonproteobacteria* compared with  $Nod2^{-/-}$  HFD mice, and  $Nod2^{-/-}$  HFD mice had significantly increased abundance of *Deferribacteres* compared with WT HFD mice. In mice on LFD, WT mice had significantly higher abundance of *Candidatus saccharibacteria* than  $Nod2^{-/-}$  mice, and  $Nod2^{-/-}$  mice had significantly higher abundance of *Deferribacteres* than WT mice. The diet also had a pronounced effect on stool microbiota. HFD significantly increased the abundance of *Clostridia* and *Deltaproteobacteria* in both WT and  $Nod2^{-/-}$  mice and also *Deferribacteres* in  $Nod2^{-/-}$  mice, whereas LFD significantly increased the abundance of *Bacteroida*, *Bacilli*, and *Erysipelotrichia* in both WT and  $Nod2^{-/-}$  mice, and also *Candidatus saccharibacteria* in WT mice.

At other taxonomic levels, significant differences in abundance in the following numbers of orders, families, genera, species, and OTUs (out of total of 27, 47, 109, 223, and 4425) were detected: between WT HFD and  $Nod2^{-/-}$  HFD mice, 2, 2, 9, 26, and 548; between WT LFD and  $Nod2^{-/-}$  LFD mice, 6, 10, 20, 46, and 601; between WT HFD and WT LFD, 8, 14, 24, 48, and 535; and between  $Nod2^{-/-}$  HFD and  $Nod2^{-/-}$  LFD mice, 6, 9, 16, 35, and 288, respectively (NCBI SRA, accession No. SRP076031). Thus, both Nod2 genotype of mice and their diet together significantly influence the diversity of their microbiomes.

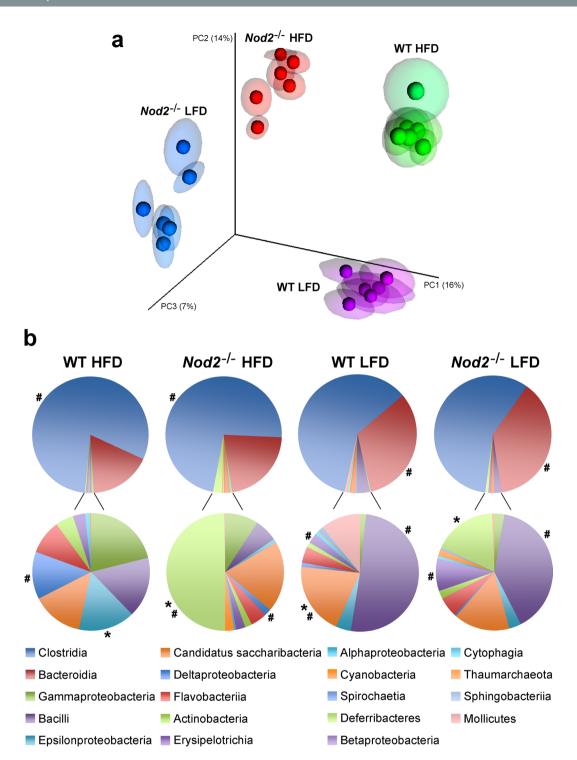
We then further analyzed the differences in microbiomes between  $Nod2^{-/-}$  HFD mice and the remaining groups of mice, because these mice had significantly higher weight gain and significant manifestations of metabolic syndrome compared with the other groups of mice, and moreover, these differences were abolished by treatment with antibiotics (next section).  $Nod2^{-/-}$  HFD mice, compared with WT HFD mice, had significantly higher abundance of six *Firmicutes*, three *Bacteroidetes*, two *Deferribacteres*, one *Proteobacteria*, and one *Actinobacteria* species (Table 1). Importantly, three of these bacterial species, both *Deferribacteres* and one *Firmicutes* (*Lachnoclostridium phytofermentans*) had significantly higher abundance in  $Nod2^{-/-}$  HFD mice than in the other three groups of mice, thus showing the best correlation with obesity and metabolic syndrome, since  $Nod2^{-/-}$  HFD mice was the only group with significantly higher obesity and metabolic syndrome. There were no bacterial species with significantly decreased abundance in  $Nod2^{-/-}$  HFD mice compared with all other groups of mice.

Diet-induced obesity in Nod2<sup>-/-</sup> BALB/c mice is abolished by long-term antibiotic treat**ment.** We next determined the role of the gut microbiota in diet-dependent obesity in  $Nod2^{-/-}$  mice by depleting gut bacteria with antibiotics. WT and Nod2<sup>-/-</sup> mice, starting at 4 weeks of age, were given an antibiotic mix (Abx) of ciprofloxacin and metronidazole in their drinking water, which is an established method for depleting gut bacteria<sup>20, 23–25</sup>. After three weeks the animals were placed on HFD and Abx were continued, and body weight was measured each week (Fig. 6a). Control mice were started on HFD at the same age, but never treated with Abx. Our results demonstrate that Abx treatment completely abolished the diet-dependent weight gain in Nod2<sup>-/-</sup> mice, but did not affect the weight gain in WT mice (Fig. 6b). Nod2<sup>-/-</sup> Abx HFD mice gained significantly less weight than Nod2<sup>-/-</sup> HFD mice, and also less than WT HFD mice with and without Abx. There was no difference in weight gained between WT Abx HFD and WT HFD mice. There was no difference in food consumption or water intake between the WT Abx HFD and Nod2<sup>-/-</sup> Abx HFD, as average food consumed/mouse/ day was 1.86 g and 1.89 g, respectively, and the average water intake/mouse/day was 2.9 ml for both genotypes. There is some decrease in water intake between the antibiotic treated versus control mice, however this decrease does not account for the difference in the weight gain observed between WT and Nod2<sup>-/-</sup> mice, because both genotypes on antibiotics had similar decrease in water intake but only Nod2<sup>-/-</sup> mice had significantly reduced weight gain.

We next determined whether the antibiotic-induced loss in weight gain was accompanied by decreased lipid accumulation in the liver of  $Nod2^{-/-}$  mice using histological and biochemical assays. Our results demonstrate that  $Nod2^{-/-}$  mice treated with long-term Abx and maintained on HFD had smaller LDs in hepatocytes compared with  $Nod2^{-/-}$  mice not treated with Abx but maintained on HFD (Fig. 6c). The area for LDs ranged from  $2\,\mu\text{m}^2$  to  $300\,\mu\text{m}^2$  with the highest percentage of LDs in the 36 to  $75\,\mu\text{m}^2$  range for the HFD mice and 2 to  $19\,\mu\text{m}^2$  range for Abx + HFD mice (Fig. 6d).  $Nod2^{-/-}$  mice on Abx + HFD had significantly lower levels of liver cholesterol and triglycerides than control  $Nod2^{-/-}$  mice on HFD alone (Fig. 6e and f). Furthermore,  $Nod2^{-/-}$  mice on Abx + HFD had significantly lower levels of serum cholesterol and triglycerides (Fig. 6g and h), but no significant difference in glucose, compared with control  $Nod2^{-/-}$  mice on HFD alone.

Thus, our results demonstrate that the gut microbiota in  $Nod2^{-/-}$  HFD mice is required for the development of diet-dependent obesity, steatosis, and hyperlipidemia in  $Nod2^{-/-}$  mice, and that long-term treatment with broad-spectrum antibiotics abolishes weight gain and several aspects of metabolic dysfunction.

Intestinal microbiota from  $Nod2^{-/-}$  mice on high fat diet increases sensitivity to obesity. We next considered whether the altered gut microbiota in  $Nod2^{-/-}$  mice on HFD is sufficient for the development of diet dependent obesity. We colonized germ-free pregnant female WT Swiss-Webster mice by co-housing with



**Figure 5.** β-diversity in stool microbiota of WT HFD,  $Nod2^{-/-}$  HFD, WT LFD, and  $Nod2^{-/-}$  LFD mice. (a) Principal Coordinate Analysis (PCoA) by UniFrac (unweighted) of microbiomes. Each sphere with confidence elipsoid corresponds to a stool microbiome from one mouse. N=6 mice/group. (b) Class abundance expressed as % of total stool microbiota; \*classes with significantly (at  $P \le 0.05$ ) increased abundance in WT *versus*  $Nod2^{-/-}$  mice (on HFD or LFD); \*classes with significantly (at  $P \le 0.05$ ) increased abundance in HFD *versus* LFD groups (for WT or  $Nod2^{-/-}$  mice); N=6 mice/group. The results for individual mice are shown in Supplementary Fig. S4. The entire microbiome analysis data comparing bacterial diversity in the stools of WT and  $Nod2^{-/-}$  mice maintained on HFD and LFD have been deposited in NCBI SRA, accession No. SRP076031.

either  $Nod2^{-/-}$  HFD mice or WT HFD mice, and also by weekly gavaging with microbiota from either  $Nod2^{-/-}$  HFD mice or WT HFD mice. We placed these mice on HFD after the first gavage (Fig. 7a). We removed the co-housed mice one day after delivery, but continued weekly gavaging and HFD, and monitored the weight gain

Phylum	Species	Nod2 <sup>-/-</sup> HFD (% abundance)	WT HFD (% abundance)
	Hydrogenoanaerobacterium sp.	0.76	0.52
	Coprococcus eutactus	0.34	0.052
	Eubacterium rectale	0.18	0.049
Firmicutes	Lachnoclostridium (Clostridium) phytofermentans	0.15	0.0000
	Coprococcus catus	0.076	0.0000
	Blautia (Ruminococcus) obeum	0.027	0.0025
	Rikenella sp.	4.49	1.49
Bacteroidetes	Bacteroides sp.	1.58	0.71
	Butyricimonas sp.	0.011	0.0000
Deferribacteres	Mucispirillum sp.	2.27	0.0000
	Mucispirillum (Flexistipes) sp.	0.30	0.0000
Proteobacteria	Helicobacter ganmani	0.058	0.0000
Actinobacteria	Adlercreutzia equolifaciens	0.028	0.0025

**Table 1.** Bacterial species with significantly higher abundance in  $Nod2^{-/-}$  HFD mice than in WT HFD mice\*. \*P < 0.05 for all species. The entire microbiome analysis data comparing bacterial diversity in the stools of WT and  $Nod2^{-/-}$  mice maintained on HFD and LFD have been deposited in NCBI SRA, accession No. SRP076031.

of pups for 8 weeks. Germ-free pups colonized with microbiota from  $Nod2^{-/-}$  HFD mice were more susceptible to HFD-dependent weight gain than pups colonized with microbiota from WT HFD mice, as manifested by significantly higher weight gain (Fig. 7b). Furthermore, pups colonized with  $Nod2^{-/-}$  HFD microbiota were also more susceptible to HFD-induced metabolic dysfunction, as they had significantly higher liver cholesterol and triglycerides, and higher serum glucose than pups colonized with microbiota from WT HFD mice (Fig. 7c-e), but there was no difference in serum cholesterol or triglycerides between the 2 groups of mice. In conclusion, microbiota from  $Nod2^{-/-}$  HFD mice can transfer to WT outbred germ-free mice enhanced susceptibility to HFD-dependent weight gain and metabolic dysfunction.

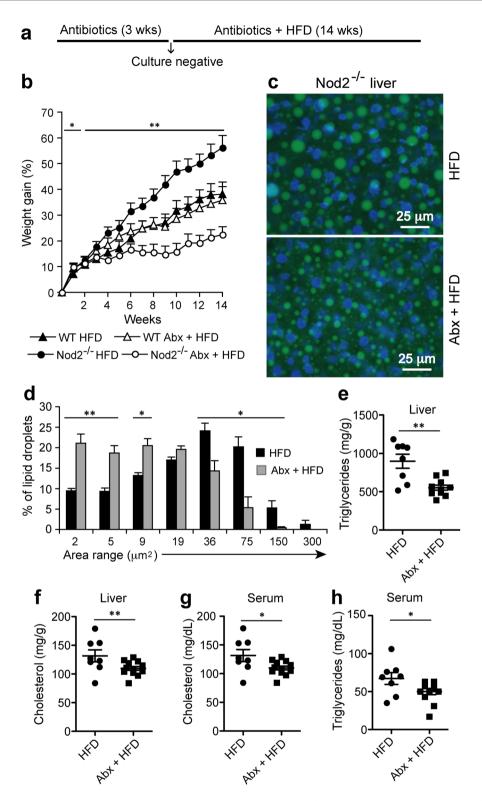
#### Discussion

Multiple factors, including host genetics, microbiota, and the environment regulate sensitivity to obesity and metabolic disease and development of their clinical manifestations. However, the specific components that promote or protect from these diseases are poorly understood. There is increasing evidence for cross talk between innate immunity and metabolism and for their combined role in the development of obesity and associated diseases<sup>6, 26–28</sup>. However there is no previous evidence for the role of the innate immunity gene *Nod2* in regulating sensitivity to obesity. Here we have discovered that *Nod2* and *Nod2*-dependent microbiota protect BALB/c mice from HFD-induced pathology.

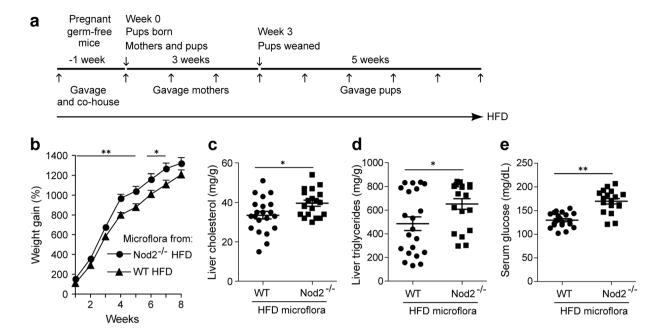
We show that  $Nod2^{-/-}$  BALB/c mice on HFD become obese and exhibit many key manifestations of metabolic disease including hypercholesterolemia, hypertriglyceridemia, hyperglycemia, and hyperinsulinemia, increased adiposity, and steatosis. The effect of Nod2 deletion on HFD-induced obesity is strain-dependent, as  $Nod2^{-/-}$  and WT C57BL/6 mice on HFD gain similar weight, but  $Nod2^{-/-}$  C57BL/6 mice exhibit more severe insulin resistance<sup>21</sup> than  $Nod2^{-/-}$  BALB/c mice. Thus, Nod2 differentially regulates the response of BALB/c and C57BL/6 mice to HFD, which emphasizes the importance of the host's entire genetic background in regulation of obesity and metabolic syndrome and reflects the variability in the development of disease in humans.

Metabolic inflammation plays a key role in the development of obesity and metabolic diseases in humans and in animal models of obesity. Our results also demonstrate that HFD-dependent obesity in  $Nod2^{-/-}$  mice correlates with increased expression of a large number of genes in adipose tissue that participate in immune responses. They include genes for chemokines and cell surface molecules involved in the recruitment and activation of T cells, monocytes, dendritic cells and eosinophils, and for enzymes in eicosanoid metabolism. In addition,  $Nod2^{-/-}$  HFD mice have increased infiltration of F4/80<sup>+</sup> macrophages and enhanced levels of the transcripts of Adgre1 (gene for F4/80) and CD68, also a marker for monocytes/macrophages<sup>29</sup>, in the adipose tissue. Similar to our results, increased inflammatory markers were also observed in  $Nod2^{-/-}$  C57BL/6 mice on HFD<sup>21</sup>, which suggests that inflammation likely plays an important role in the development of metabolic dysfunction in both strains of mice.

Development of obesity is usually accompanied by dysfunction in lipid and/or carbohydrate metabolism, and our results also demonstrate that HFD-dependent obesity in  $Nod2^{-/-}$  mice correlates with changes in the expression of many genes involved in intermediary metabolism, primarily lipid metabolism. Several of these differentially expressed genes and their products have an established role in the development of obesity or are associated with metabolic diseases<sup>30–35</sup>. Leptin is an adipokine that regulates appetite and energy balance, and in obese individuals there is decreased sensitivity to leptin, which may result in increased appetite<sup>36</sup>.  $Nod2^{-/-}$  mice on HFD have elevated levels of serum leptin, enhanced transcription of leptin (Lep) in the adipose tissue, and decreased expression of the leptin receptor in the liver (Lepr). These results suggest that  $Nod2^{-/-}$  mice on HFD develop resistance to leptin, however there was no difference in the amount of food consumed between WT and  $Nod2^{-/-}$  mice on HFD. Genes known to participate in multiple other biological pathways, including DNA



**Figure 6.** Antibiotic treatment prevents the development of obesity, steatosis, and metabolic dysfunction in  $Nod2^{-/-}$  mice on HFD. (a)  $Nod2^{-/-}$  and WT mice were treated with Abx to deplete their intestinal microbiota. Control mice were not treated with antibiotics. Three weeks after the start of Abx all mice were placed on HFD and monitored for weight gain, histopathology, and metabolites. (b) Percent gain in weight compared to week 0 of HFD. (c,d) After 14 weeks of HFD, mice were analyzed for liver histopathology in BODIPY and DAPI stained sections and (d) percent frequency distribution of LD area ( $\mu$ m²) for ~4,000 LDs/group. (e-h) After 14 weeks of HFD, (e) liver triglycerides, (f) liver cholesterol, (g) serum cholesterol, and (h) serum triglycerides were measured. (b,d) Results are means  $\pm$  SEM, (C) representative images, and (e-h) individual data of 6–10 mice/group. \* $P \le 0.05$  and \*\* $P \le 0.001$ ,  $Nod2^{-/-}$  Abx + HFD  $versus Nod2^{-/-}$  HFD.



**Figure 7.** Intestinal microbiota from  $Nod2^{-/-}$  mice on HFD increases susceptibility of germ-free mice to HFD-induced obesity and metabolic dysfunction. (a) One week before delivery, we colonized germ-free WT Swiss Webster female pregnant mice, kept under sterile conditions, with microbiota from WT or  $Nod2^{-/-}$  mice maintained on HFD. Colonization was done by both gavaging with fecal microbiota and by co-housing. Gavaging was done into the stomach with fecal homogenates from WT or  $Nod2^{-/-}$  mice maintained on HFD (indicated by ↑). At the same time, the gavaged mice were placed on HFD and also co-housed with  $Nod2^{-/-}$  or WT female mice that had been maintained on HFD for ~20 weeks. The co-housed  $Nod2^{-/-}$  HFD and WT HFD mice were removed when the pups were born (week 0). Mothers and pups were maintained on HFD for the length of the experiment. (b-e) Pups were monitored for (b) gain in weight every week and after 8 weeks assayed for (c) liver cholesterol, (d) liver triglycerides and (e) serum glucose. (b) Results are means  $\pm$  SEM and (c-e) individual data of 19–21 mice/group. \* $P \le 0.05$  and \*\* $P \le 0.001$ ,  $Nod2^{-/-}$  Abx + HFD versus  $Nod2^{-/-}$  HFD.

structure, gene expression, cell cycle, development, neural processes, transport, cytoskeleton, and extracellular matrix were also differentially expressed between  $Nod2^{-/-}$  HFD mice compared with WT HFD mice, which suggest the development of a complex pathology.

Obesity is often associated with non-alcoholic fatty liver disease, and  $Nod2^{-/-}$  mice on HFD also exhibit similar manifestations, including enlarged fatty liver, elevated levels of cholesterol and triglycerides in the liver, and large LDs in the hepatocytes. LDs are composed of a lipid core surrounded by a phospholipid monolayer and are coated with proteins that regulate LD physiology, including enzymes in lipid metabolism and members of the perilipin family, which regulate lipid metabolism and trafficking<sup>37, 38</sup>. The development of LDs is regulated by multiple factors, including host genetics, composition of the intestinal microbiota, and diet<sup>37–40</sup>. Using proteomics we identified many proteins in the LD enriched fraction with significantly changed abundance in  $Nod2^{-/-}$  HFD mice compared with WT HFD mice. All identified proteins have known functions in lipid metabolism and/or vesicle trafficking and several of these proteins, for example Abhd5, Pnpla3, and COPI, have an established role or are associated with obesity and /or steatosis<sup>37, 38, 41–45</sup>. In addition, many genes involved in lipid synthesis, degradation, and storage were differentially regulated in the liver of  $Nod2^{-/-}$  mice on HFD compared with WT mice on HFD. These results, thus, provide clues for the molecular mechanism responsible for the development of steatosis in  $Nod2^{-/-}$  HFD mice. Moreover, the altered microbiota in  $Nod2^{-/-}$  HFD mice also contributes to increased lipid accumulation in hepatocytes and may play a role in the formation of large LDs.

Obese mice and humans often show increased abundance of *Firmicutes* and *Proteobacteria*, but decreased abundance of *Bacteroidetes*<sup>3, 46, 47</sup>. Accordingly, our results also show higher abundance of six *Firmicutes* species and one *Proteobacteria* species in obese  $Nod2^{-/-}$  HFD mice compared with non-obese WT HFD mice. The abundance of several of these species is also increased in obese humans<sup>48–51</sup>. Our results comparing the abundance of *Bacteroidetes* in obese and non-obese mice were mixed: three *Bacteroidetes* species were increased and two were decreased in  $Nod2^{-/-}$  HFD mice compared with WT HFD mice, consistent with many exceptions to the general rule of decreased *Bacteroidetes* in obesity, e.g., increased abundance of *Bacteroides* was found in some obese and obese-prone populations<sup>52, 53</sup>. Also, consistent with the association of inflammation with obesity, the abundance of two members of *Deferribacteres* were increased in obese  $Nod2^{-/-}$  HFD mice compared with all other non-obese groups of mice, as *Deferribacteres* are early markers of inflammation<sup>54</sup>. Our results concur with previous data showing dysbiosis in  $Nod2^{-/-}$  C57BL/6 mice on HFD<sup>21</sup>, but the specific differences were not the same as those seen in  $Nod2^{-/-}$  BALB/c mice on HFD.

There is increasing evidence indicating a role for the gut microbiota in the development of obesity in humans and in animal models of obesity<sup>2,3</sup>. Microbiota with increased capacity for harvesting energy, referred to as "obese microbiota", has been identified in obese individuals, and furthermore, the obese microbiota from humans can transfer the obesity phenotype to mice<sup>55, 56</sup>. Our results showing abrogation of obesity and metabolic changes in  $Nod2^{-/-}$  HFD mice by antibiotics and transfer of increased sensitivity to obesity in germ-free mice by microbiota from  $Nod2^{-/-}$  HFD mice suggest that Nod2 may protect mice from harboring such "obese microbiota". This notion is further supported by a decreased total energy content in the stools of  $Nod2^{-/-}$  HFD mice compared with WT HFD mice, suggesting greater extraction of energy from food in  $Nod2^{-/-}$  HFD mice, leading to obesity and metabolic disease. These results indicate that there are many similarities in the mechanisms responsible for microbiota-induced obesity in humans and mice, although the specific bacteria present in humans and mice may differ.

In summary, we have discovered that deletion of Nod2 abolishes the resistance of BALB/c mice to HFD-induced obesity and metabolic dysfunction. The development of obesity and metabolic dysfunction in  $Nod2^{-/-}$  mice on HFD has many similarities to the development of HFD-induced pathology in humans, which makes  $Nod2^{-/-}$  BALB/c mice a relevant model for the study of HFD-induced obesity and associated diseases.

#### Methods

**Mice.** Nod2<sup>-/-</sup> mice on BALB/c background were described previously and deletion of the Nod2 genes was confirmed by PCR analysis of genomic DNA<sup>19, 20</sup>. The original founder WT BALB/c mice were obtained from Harlan-Sprague-Dawly. WT germ-free mice (Swiss Webster female and male) were obtained from Taconic Farms (Hudson, NY). All WT and  $Nod2^{-/-}$  mice on BALB/c background were bred and kept under conventional pathogen-free conditions in the same room in our facility to minimize the influence of differences in the environment. For each experiment, mice from several different cages and breeder pairs were used. To avoid changes in microbiome that could accumulate over extended period of time, we backcross our mutant mice to WT females once every other year and re-derive our homozygous knockout breeding pairs. The latter strategy also minimized genetic drift in the population. Some investigators use knockout and WT littermates from heterozygous breeding pairs to minimize parent-to-parent and cage-to-cage variations. We do not use this strategy for two reasons: first, this strategy may skew the results to the particular microbiota present only in this breeding pair. Second and most importantly, we noticed that the effect of innate immunity genes on the composition of microbiota is not instantaneous, but takes time, and stabilization of microbiota characteristic of a given knockout may take more than one generation<sup>20</sup>. The BALB/c background of knockout mice and their negative status for all common viral and bacterial pathogens and parasites were confirmed as previously described<sup>19</sup>. The Indiana University School of Medicine-Northwest Institutional Animal Care and Use Committee approved all experiments with mice. All methods were performed in accordance with the relevant guidelines and regulations.

**Obesity model in conventional mice.** WT and *Nod2*<sup>-/-</sup> female mice in BALB/c background, matched for weight and age (6–7 weeks old) were randomly divided into two groups and started on HFD (fat 60 kcal%, D12492) or LFD (fat 10 kcal%, D12450B) from Research Diets and maintained on the special diets until the end of the experiment (14 or 30 weeks). The total calories/g of HFD and LFD were the same. Mice were weighed every week and the amount of food consumed each week was measured to confirm that the differences in obesity are not due to differences in food intake. One to two weeks before sacrifice, stool samples were collected for microbiota analysis, bomb calorimetry and for microbiota transplant experiments, fasting glucose was assayed, and glucose tolerance and insulin resistance assays performed. At the time of sacrifice, blood was collected for biochemical assays; visceral adipose tissue and liver were weighed and subcutaneous adipose tissue was measured with a micrometer. Visceral adipose tissue and liver were processed for histology, RNA, and flow cytometry. Lipid droplets were purified from the liver and proteins identified by mass spectrometry. We focused on adipose tissue and liver, because they are the major organs involved in intermediary metabolism.

Obesity in antibiotic-treated mice. We depleted the microbiota in WT and  $Nod2^{-/-}$  mice using an established antibiotic treatment  $^{20}$ ,  $^{23-25}$ . Mice were matched for weight and age and randomly divided into two groups. One group of 4 weeks old mice was started on an antibiotic mix (Abx) of ciprofloxacin ( $100\,\mu g/ml$ ) and metronidazole ( $250\,\mu g/ml$ ) in their drinking water. After three weeks of Abx treatment anaerobic stool cultures were negative ( $<10^3$  bacteria/g of feces, freshly collected in reducing buffer), and mice were placed on HFD and Abx was continued, and body weight and food consumed were measured each week. Control mice were started on HFD at the same age, but not treated with Abx. At 14 weeks after the start of HFD, mice were sacrificed, blood was collected for biochemical assays and liver was processed for histology and lipid assays.

Obesity in microbiota transplanted germ-free mice. One week before delivery, we colonized germ-free WT Swiss Webster female pregnant mice, kept under sterile conditions, with microbiota from WT or  $Nod2^{-/-}$  mice maintained on HFD. Colonization was done by both gavaging with fecal microbiota and by co-housing. Gavaging was done into the stomach with 10 mg of fecal homogenates (containing  $5 \times 10^9$  bacteria in 0.2 ml) prepared from freshly defecated stool samples, collected from WT or  $Nod2^{-/-}$  mice maintained on HFD for 29–30 weeks, and immediately placed in reduced anaerobic medium, homogenized, and stored frozen at  $-80\,^{\circ}$ C, as previously described<sup>20, 25</sup>. At the same time, the gavaged mice were placed on HFD and also co-housing with  $Nod2^{-/-}$  or WT female mice that had been maintained on HFD for 20 weeks was started and continued until one day after delivery. The mothers were gavaged weekly and maintained on HFD until weaning of the pups at 3 weeks of age. Pups were gavaged at weaning and once a week thereafter, and continued on HFD. Body weight was measured every week and blood was collected at the time of sacrifice. We used this strategy to ensure successful colonization with microbiota, since we did not know whether gavaging or co-housing would be more effective

in transferring obesity-promoting microbiota. We colonized pregnant mice to ensure that the pups will be born colonized with a specific gut microbiota. The presence of microbiota at birth is essential for the normal development of host metabolism and immune systems and alterations in the gut bacteria at birth increases susceptibility to diet-induced obesity<sup>57</sup>.

**Histopathology of liver and adipose tissue.** Liver and adipose tissue were fixed in 10% buffered formalin, embedded in paraffin, sectioned, and stained with hematoxylin and eosin. Because lipid is lost with paraffin embedding, we also analyzed frozen liver sections stained with BODIPY 493/503 (Molecular Probes) and DAPI (Vector Laboratories). The liver sections were fixed in 4% paraformaldehyde/PBS for 10 min at room temperature, rinsed with PBS, and stained with 1 µg/ml of BODIPY 493/503 in PBS for 5 min at room temperature. The sections were rinsed with PBS and then covered with Vectashield mounting medium containing DAPI. The area for lipid droplets and adipocytes was measured using ImageJ and Adiposoft<sup>58</sup>, respectively.

**Biochemical analyses.** Mice were fasted for 5 h and blood was collected. Serum cholesterol and triglycerides were quantified using fluorometric kits from BioVision Inc. Serum insulin and leptin were analyzed using ELISA kits from Linco Research Inc. Blood glucose was measured using the CVS/pharmacy Advanced Glucose Meter and test strips. Cholesterol and triglycerides were also quantified in liver homogenates (BioVision Inc).

Glucose tolerance test and estimation of insulin sensitivity. WT and  $Nod2^{-/-}$  mice maintained on HFD for 29 weeks were fasted for 5 h and baseline glucose measured using blood collected from the tail vein. For the glucose tolerance test, mice were injected intraperitoneally with 2 g glucose/kg body weight in sterile PBS and blood glucose measured at 40, 80, and 120 min after injection. For the insulin sensitivity test, mice were injected intraperitoneally with 0.4 U/kg body weight of Humulin-R insulin (HealthWarehouse) in sterile PBS and blood glucose measured at 15, 30, 60, 90, and 120 min after injection.

**Bomb calorimetry.** To identify changes in energy extracted from the food between  $Nod2^{-/-}$  and WT mice on HFD, fresh fecal samples were collected from individual mice, weighed, and frozen at -80 °C until processing. Samples were dried at 58 °C for 24h, dried pellets were ground and energy content was measured using a Parr Oxygen Bomb Calorimeter and total caloric value computed as per the manufacturers instructions.

**Lipid droplet enrichment and protein analysis.** *Lipid droplet enrichment.* We enriched LDs using a modified procedure<sup>59</sup>. Freshly dissected livers were homogenized on ice with a glass Dounce homogenizer containing ice-cold buffer A (20 mM tricine and 250 mM sucrose, pH 7.8) with 0.2 mM PMSF and protease inhibitor cocktail. The homogenate was centrifuged at 100 g for 10 min at 4 °C. The supernatant was further disrupted for 15 min at 500 psi in a nitrogen bomb chamber and centrifuged at 3,000 g for 10 min at 4 °C to remove nuclei, cell debris, and unbroken cells. The post-nuclear supernatant (PNS) was transferred into a 15 ml tube and 3 ml of buffer B (20 mM HEPES, 100 mM KCl and 2 mM MgCl<sub>2</sub>, pH 7.4) was loaded on top and centrifuged at 2,000 g for 30 min at 4 °C. LDs were collected from the top band and washed several times with 200 μl buffer B and centrifuged at 20,000 g for 5 min at 4 °C. The samples were mixed with chloroform/acetone (0.25:0.75, v/v), kept at -80 °C overnight, and proteins and lipids were separated by centrifugation at 20,000 g for 10 min at 4 °C. The protein pellet was air-dried, dissolved in 8 M Urea and analyzed by mass spectrometry.

Proteomic analysis by mass spectrometry. Proteins in the LD enriched fraction were identified by mass spectrometry at the Laboratory for Biological Mass Spectrometry, Indiana University Bloomington. Proteins were digested with trypsin and purified peptides were run on an Eksigent Nano 2D HPLC coupled to a LTQ Velos Pro mass spectrometer (Thermo Fisher Scientific, Waltham MA). The resulting data were searched in Protein Prospector against the *Homo sapiens* or *Mus musculus* proteome in the SwissProt database. Spectral counting was used for protein quantification. Normalized data for comparison were obtained by dividing spectral count of a single protein by the sum of spectral counts in the same sample. The fold change was computed for  $Nod2^{-/-}$  HFD with respect to WT HFD and genes with a fold change of  $\geq 1.5$  or  $\leq 0.6$  and  $P \leq 0.05$  were considered as significantly different between the 2 groups of mice.

**RNA transcriptomics.** For identification of genes that were differentially expressed between  $Nod2^{-/-}$  and WT mice on HFD, we analyzed the total RNA population in the liver and adipose tissue by RNA sequencing. RNA was isolated from the liver and adipose tissue of individual WT and  $Nod2^{-/-}$  mice maintained on HFD for 30 weeks using the TRIZOL method (InVitrogen), followed by purification on RNeasy spin columns using Qiagen RNeasy Mini Kit<sup>60</sup>. RNA sequencing and initial analysis were performed at the Center for Genomics and Bioinformatics, Indiana University Bloomington. 98% of all reads (~311 million reads) passed a quality filter of q20 requiring a minimum length of 50 bases after adapter and quality trimming, 94.7% of these mapped to the mouse genome assembly GRCm38.75 using tophat ver.2.0.10 and 95.5% of these mapped uniquely. The reads were annotated using the software DAVID<sup>61</sup> and differential expression with statistical analysis was calculated using the software DESeq2<sup>62</sup>. The fold change was computed for  $Nod2^{-/-}$  HFD with respect to WT HFD and genes that had a fold change of  $\geq$ 2 or  $\leq$ 0.5 and  $P\leq$ 0.05 and with a 5% FDR were considered as significantly different between the 2 groups.

Isolation of stromal/vascular cells from adipose tissue and flow cytometry. Stromal/vascular fraction from adipose tissue, which contains immune cells, was isolated using a modified procedure  $^{63}$ . Visceral adipose tissue was isolated, weighed, and digested with 1 mg/ml collagenase D and  $0.15\,\mu$ g/ml DNAse I in RPMI-5FCS (RPMI-1640 supplemented with 5% FCS) for 40 min in a shaking 37 °C water bath and samples were centrifuged at 1,000 rpm for 10 min at room temperature; at this speed adipocytes stay on the top and the stromal/

vascular (SV) cells form a pellet. The supernatant with the adipocytes was carefully removed and discarded and the pellet was resuspended in RPMI-5FCS and filtered through a  $100\,\mu m$  filter to remove any remaining adipocytes. SV cells were stained with CD45-Vioblue and F4/80-APC (Biolegend) for  $20\,m m$ , analyzed by flow cytometry using MACSQuant (Miltenyi) cytometer and F4/80 positive cells were measured within the CD45 gate.

**Gut microbiome analysis.** Stool collection and DNA extraction. We collected freshly defecated stools from individual female WT and  $Nod2^{-/-}$  mice (200 mg/mouse, 6 mice/strain) maintained for 28–30 weeks on HFD or LFD and isolated DNA using the MoBio Fecal DNA isolation kit<sup>25</sup>. For each genotype, mice originated from three different litters from different parents and 2 mice per litter), weaned into separate cages, and all cages were kept in the same room in our animal facility. This strategy allows stabilization of microbiota and minimizes the variability observed between different litters due to different parents and different cages and rooms<sup>20, 25, 60</sup>.

Pyrosequencing of 16S rRNA genes and microbiome analysis. Genetic phylotyping (community profiling) using pyrosequencing of the variable regions of bacterial 16 S ribosomal RNA (rRNA) genes with 16 S universal Eubacterial primers 530 F (5'-GTG CCA GCMGCN GCG G) and 1100 R (5'-GGG TTN CGN TCG TTG) was performed using Roche 454 technology and bTEFAP® pipeline at MR DNA Molecular Research LP<sup>25, 64</sup>. A single-step 30 cycle PCR using HotStarTaq Plus Master Mix Kit (Qiagen) was used under the following conditions: 94 °C for 3 min, followed by 28 cycles of 94 °C for 30 sec, 53 °C for 40 sec, and 72 °C for 1 min, and elongation step at 72 °C for 5 min. Following PCR, all amplicon products from different samples were mixed in equal concentrations and purified using Agencourt Ampure beads (Agencourt Bioscience Corporation). Samples were sequenced using Roche 454 FLX titanium instrument and reagents by following manufacturer's guidelines. Sequencing data were processed using MR DNA Molecular Research LP analysis pipeline (www.mrdnalab.com), including depletion of barcodes and primers, removal of short sequences (<200 bp), sequences with ambiguous base calls, and sequences with homopolymer runs exceeding 6 bp, de-noising, and removal of chimeras<sup>65</sup>. Operational taxonomic units (OTUs) were then defined after removal of singleton sequences and clustering, and taxonomically classified using BLASTn against a curated GreenGenes database and assigned to species at >97% identity to the reference sequence (<3% divergence), to genus at 95%–97% identity, family at 90%–95% identity, order at 85%-90% identity, class at 80%-85% identity, phylum at 77%-80% identity, and unclassified at <77% identity66. We compared the sequences and analyzed the changes in bacterial ecology using Quantitative Insights Into Microbial Ecology (QIIME; http://qiime.sourceforge.net), Bayesian, PyNAST, and UniFrac<sup>67-69</sup>. The Shannon diversity index  $H = -\sum p_i \ln(p_i)$  and Shannon equitability index  $E_H = H/\ln(S)$ , where  $p_i$  is the proportion of the *i*th taxonomic unit (OTU or species) and S is the total number of taxonomic units, were calculated using Microsoft Excel. The average total number of reads per mouse was 6978, with no significant differences between the numbers of reads/mouse between WT and  $Nod2^{-/-}$  and HFD and LFD mice, and detected bacteria with a frequency of >0.001%.

**Statistical analysis.** The significance of differences between the numbers of recovered bacterial species and OTUs was determined by the *z*-test using Microsoft Excel. The significance of differences between all other quantitative results presented as means  $\pm$  SEM were determined by the Student's *t*-test using Microsoft Excel or by ANOVA using Prism. The *N* and *P* values are indicated in the Figures and Tables;  $P \le 0.05$  was considered significant. The heatmaps were generated using Java TreeView and represent mean fold changes in  $Nod2^{-/-}$  HFD mice relative to WT HFD mice, after converting <1 ratios to negative fold difference using the formula: (-1)/ ratio.

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#### **Acknowledgements**

We are grateful to Gabriel Núñez for the original  $Nod2^{-/-}$  BALB/c mice, Julie Cook for maintaining and breeding our mice, and Tatiana Kostrominova for guidance with the histology protocol and for the use of the wheel activity equipment. This work was supported in part by the DoD Discovery Award PR141819 to D.G.

#### **Author Contributions**

Conceptualization, writing, funding: D.G. and R.D.; Methodology: D.G., R.D., I.R.-N. and T.C.; Data Investigation and validation: D.G., R.D., I.R-N., T.C., K.K. and C.N.R.; Analysis: D.G., R.D., I.R-N. and T.C.

#### **Additional Information**

Supplementary information accompanies this paper at doi:10.1038/s41598-017-00484-2

**Competing Interests:** The authors declare that they have no competing interests.

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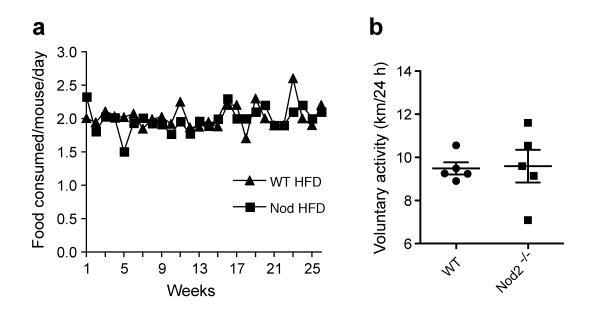
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# **Nod2** and **Nod2**-regulated microbiota protect BALB/c mice from diet-induced obesity and metabolic dysfunction

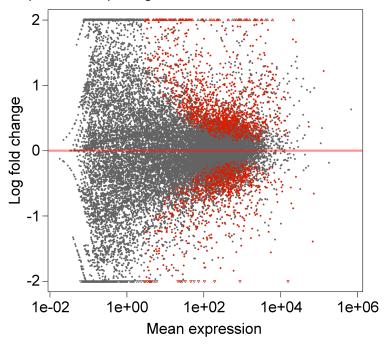
Ivan Rodriguez-Nunez, Tiffany Caluag, Kori Kirby, Charles N. Rudick, Roman Dziarski, and Dipika Gupta

#### **Supplementary Figures**

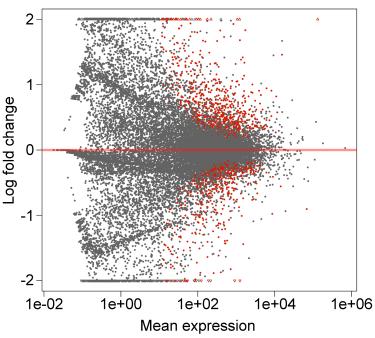


Supplementary Figure S1.  $Nod2^{-/-}$  and WT mice on HFD show no difference in the amount of food consumed and  $Nod2^{-/-}$  and WT mice on regular chow show no difference in voluntary activity. (a) The amount of food consumed by WT and  $Nod2^{-/-}$  mice on HFD per cage was measured each week for 30 weeks and the amount of food consumed per mouse is shown. Results are means of 4 cages for each group, and total number of mice, N=20-24. (b)  $Nod2^{-/-}$  and WT mice on regular chow have no difference in voluntary wheel activity.

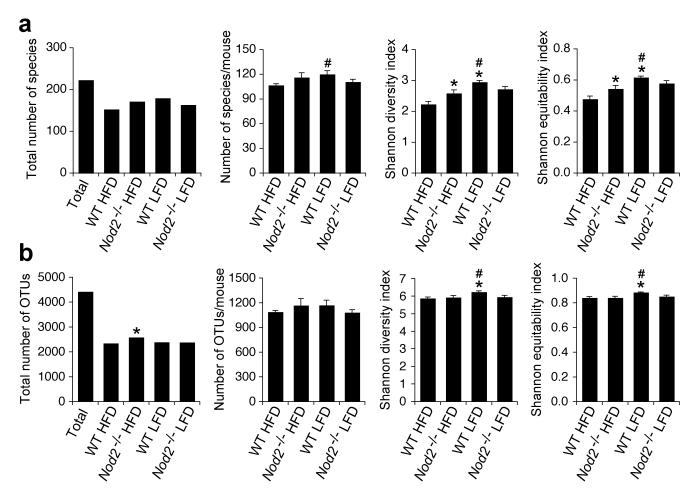
MA plots for adipose genes in Nod2-/- and WT HFD mice



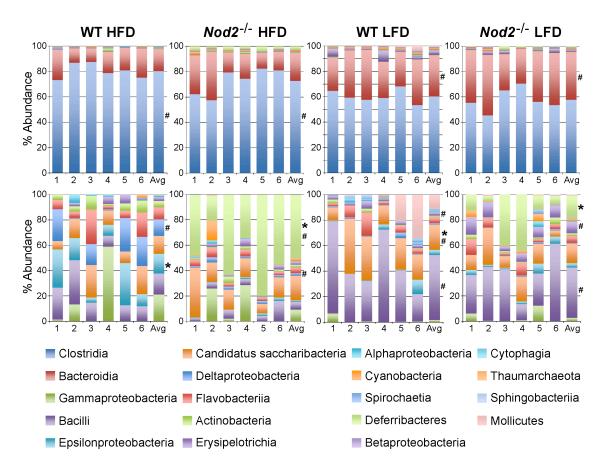
MA plots for liver genes in Nod2 -/- and WT HFD mice



Supplementary Figure S2. Nod2<sup>-/-</sup> mice on HFD have many differentially expressed genes in the liver and adipose tissue compared with WT mice on HFD. MA plots showing gene expression in the (A) liver and (B) adipose tissue of  $Nod2^{-/-}$  HFD mice relative to WT HFD mice. Each dot represents a gene. The Y-axis is the log2 fold change ( $Nod2^{-/-}$  HFD /WT HFD) and the X-axis is the average of the counts normalized by size factor. All genes falling on the red horizontal line intersecting at 0 on the Y-axis have a log2 ratio of zero (no change in gene expression). All the genes falling above 0 are up regulated in  $Nod2^{-/-}$ , while those below 0 are down regulated. The genes colored red are significantly up or down regulated (significance defined at  $P \le 0.05$  at 5% FDR). The triangles represent genes that are differentially expressed over log2 fold change.



Supplementary Figure S3. α-diversity in stool microbiota in WT HFD,  $Nod2^{-l-}$  HFD, WT LFD, and  $Nod2^{-l-}$  LFD mice. (a) Total numbers of species, numbers of species/mouse, Shannon diversity index, and Shannon equitability index for bacterial species. (b) Total numbers of OTUs, numbers of OTUs/mouse, Shannon diversity index, and Shannon equitability index for bacterial OTUs. The results are means ± SEM or totals; N = 6 mice/group; \* $P \le 0.05$  WT  $Versus\ Nod2^{-l-}$  (on HFD or LFD); # $P \le 0.05$  HFD  $Versus\ LFD$  (for WT or  $Nod2^{-l-}$ ). The entire microbiome analysis data comparing bacterial diversity in the stools of WT and  $Nod2^{-l-}$  mice maintained on HFD and LFD have been deposited in NCBI SRA, accession No. SRP076031.



Supplementary Figure S4. Class β-diversity in stool microbiota in WT HFD,  $Nod2^{-f}$ - HFD, WT LFD, and  $Nod2^{-f}$ - LFD mice. Class abundance expressed as % of total stool microbiota is shown for individual mice (1-6) and an average (Avg) for all bacterial classes (top graphs) and for 17 less abundant classes expressed as % of total low abundant classes (excluding two most abundant classes, Clostridia and Bacteroida) (bottom graphs); \*, classes with significantly (at  $P \le 0.05$ ) increased abundance in WT  $versus Nod2^{-f}$  mice (on HFD or LFD); #, classes with significantly (at  $P \le 0.05$ ) increased abundance in HFD versus LFD groups (for WT or  $Nod2^{-f}$  mice). The entire microbiome analysis data comparing bacterial diversity in the stools of WT and  $Nod2^{-f}$  mice maintained on HFD and LFD have been deposited in NCBI SRA, accession No. SRP076031.